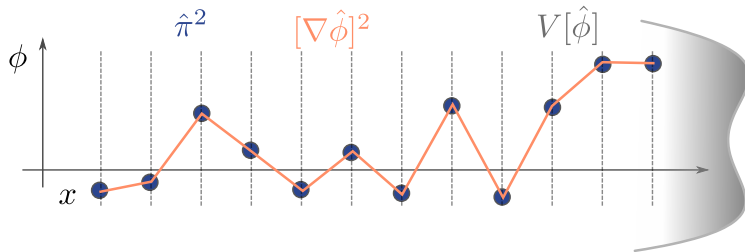


# Variational method in relativistic QFT without cutoff

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Seminar, UCC, University of Barcelona  
March 19th, 2021

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$\phi_2^4$  - pile of dirt



$QCD$  - Everest



$\mathcal{N} = 4$  SYM - Chrysler building

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## Goal - ideal - philosophy: an apology of the pile of dirt approach

Abandon analytical solutions, but find robust methods that can solve simple QFTs non-perturbatively and, if possible, to machine precision, *without cheating*.

# Outline

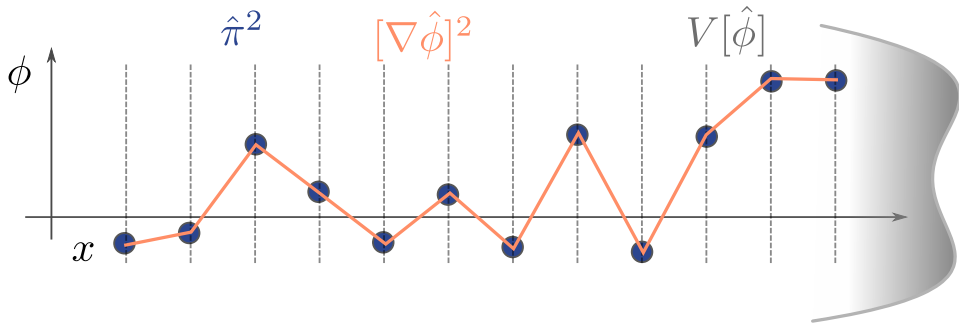
1.  $\phi_2^4$  for beginners
2. The variational method
3. Tensor networks on the lattice
4. Matrix product states and their continuum limit
5. Going relativistic
6. Results and discussion

# $\phi_2^4$ for beginners

and condensed matter theorists



# Intuitive definition: canonical quantization



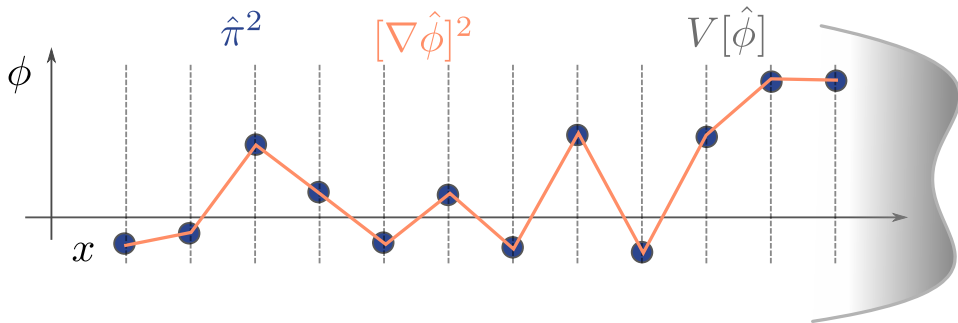
## Hamiltonian

A continuum of nearest neighbor coupled anharmonic oscillators

$$\hat{H} = \int_{\mathbb{R}^d} d^d x \quad \underbrace{\frac{\hat{\pi}(x)^2}{2}}_{\text{on-site inertia}} + \underbrace{\frac{[\nabla \hat{\phi}(x)]^2}{2}}_{\text{spatial stiffness}} + \underbrace{V(\hat{\phi}(x))}_{\text{on-site potential}}$$

with canonical commutation relations  $[\hat{\phi}(x), \hat{\pi}(y)] = i\delta^d(x - y)\mathbb{1}$  (i.e. bosons)

# Intuitive definition



## Hilbert space

Fock space  $\mathcal{H}_{\text{QFT}} = \mathcal{F}[L^2(\mathbb{R}^d)]$  – just like  $x, p \rightarrow (a, a^\dagger)$  do  $\hat{\pi}, \hat{\phi} \rightarrow \hat{\psi}, \hat{\psi}^\dagger$

$$|\Psi\rangle = \sum_{n=0}^{+\infty} \int dx_1 dx_2 \cdots dx_n \underbrace{\varphi_n(x_1, x_2, \cdots, x_n)}_{\text{wave function}} \underbrace{\hat{\psi}^\dagger(x_1) \hat{\psi}^\dagger(x_2) \cdots \hat{\psi}^\dagger(x_n)}_{\text{local oscillator creation}} |\text{vac}\rangle$$

# What are the problems - Hilbert space approach

The Hamiltonian is ill defined on all states in the Hilbert space because of infinite zero point energy *i.e.* terms  $\propto \hat{\psi}(x)\hat{\psi}^\dagger(x)$

$$\langle \Psi_1 | \hat{H} | \Psi_2 \rangle = \pm \infty \quad \text{and even} \quad \langle \text{vac} | \hat{H} | \text{vac} \rangle \propto \delta^d(0) = +\infty$$

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If the divergent vacuum terms are removed, the Hamiltonian is not bounded from below

$$\forall |\Psi\rangle \in \mathcal{H}, \langle \Psi | \hat{H}_{\text{finite}} | \Psi \rangle = \text{finite but } \exists \Psi_n \text{ s.t. } \lim_{n \rightarrow +\infty} \langle \Psi_n | H_{\text{finite}} | \Psi_n \rangle = -\infty$$

# How are they are solved in the free case - Hamiltonian

## Bogoliubov transform

Go from  $\hat{\psi}(x), \hat{\psi}^\dagger(x)$  to  $a(p), a^\dagger(p)$  with

$$a(p) = \frac{1}{\sqrt{2}} \left( \sqrt{\omega_p} \hat{\phi}(p) + \frac{\hat{\pi}(p)}{\sqrt{\omega_p}} \right) \quad \text{with} \quad \omega_p = \sqrt{p^2 + m^2}$$

which yields

$$H_0 = \int dp \, \omega_p \, \frac{1}{2} (a_p^\dagger a_p + a_p a_p^\dagger)$$

## Solution

- ▶ Take  $H_{\text{QFT}} \equiv : H :_a$
- ▶  $|\text{free ground state}\rangle = |\text{vacuum}\rangle_a$
- ▶  $\mathcal{H}$  built from  $a_{p_1}^\dagger \cdots a_{p_n}^\dagger |\text{vacuum}\rangle_a$

This solves the problematic free part exactly, and allows to define a finite interaction (in  $1 + 1$ )

# Rigorous operator definition of $\phi_2^4$

## Renormalized $\phi_2^4$ theory

$$H = \int dx \frac{:\pi^2:_a}{2} + \frac{:(\nabla\phi)^2:_a}{2} + \frac{m^2}{2} : \phi^2 :_a + g : \phi^4 :_a$$

(note that  $:\diamond:_a$  depends on  $m$ )

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1. Rigorously defined relativistic QFT without cutoff (Wightman QFT)
2. Vacuum energy density finite
3. Very difficult to solve unless  $g \ll m^2$  (perturbation theory)
4. Phase transition around  $f_c = \frac{g}{4m^2} = 11$  i.e.  $g \simeq 2.7$  in mass units

# The variational method

Solving the non-exactly solvable by guessing well



# Ways to solve the non-exactly-solvable

The two main games in town

1. Perturbative expansions (+ Borel-Padé resummation)
2. Lattice Monte Carlo

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The two main games in town

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Two “new” deterministic non-perturbative options:

1. Variational method → focus of today
2. Non-perturbative renormalization group (Kadanoff, FRG, Tensor RG, etc.)

The two new methods now rule on (low dimensional) lattice problems thanks to tensor networks → QFT?

# The variational method

In the Hamiltonian formulation:

- ▶ Guess a **finite dimensional submanifold**  $\mathcal{M}$  of the QFT Hilbert space  $\mathcal{H}$
- ▶ Find the ground state by minimizing  $\langle H \rangle$ :

$$|\text{ground}\rangle \simeq |\psi\rangle = \operatorname{argmin}_{\mathcal{M}} \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle}$$

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## Example: naive Hamiltonian truncation

With an IR cutoff, momenta are discrete. Take as submanifold  $\mathcal{M}$  the **vector space** spanned by:

$$a_{k_1}^\dagger a_{k_2}^\dagger \cdots a_{k_r}^\dagger |0\rangle_a$$

where  $r \leq r_{\max}$  and  $k \leq k_{\max}$  (one possible truncation)

# Feynman's objection

Feynman's requirement for variational wavefunctions in RQFT (1987)

## 1. Extensive parameterization

Number of parameters  $\propto L^\alpha$  at most for system size  $L$

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All methods so far break one at least:

- ▶ Hamiltonian truncation fails at 1 (but works fairly well through its renormalized refinements)
- ▶ Tensor networks succeed at 1 and 2 but fail (a priori) at 3

Haegeman-Cirac-Osborne-Verschelde-Verstraete fix of 2010: regulate the UV by adding a Lagrange multiplier in the Hamiltonian  $H \rightarrow H + \frac{1}{\lambda^2} \text{regulator}$



# Tensor network states

The best guess for the many-body problem on the lattice

# Tensor networks in a nutshell

Tensor network states provide a **compressed** representation of low energy states of local Hamiltonians on the lattice

- ▶ Compression possible because **area law**: such states are weakly entangled
- ▶ The “bond dimension”  $D$  quantifies the number of parameters
- ▶ In 1 space dimension, provably efficient (cost poly  $D$ , error superpoly  $1/D$ )

# Matrix Product States (MPS)

## Definition

A MPS for a translation invariant chain of  $N$  qudits ( $\mathbb{C}^d$ ) with periodic boundary conditions is a state


$$|\psi(A)\rangle := \sum_{i_1, i_2, \dots, i_N} \text{tr} [A_{i_1} A_{i_2} \cdots A_{i_N}] |i_1, i_2, \dots, i_N\rangle$$

where  $A_i$  are  $d$  matrices  $\in \mathcal{M}_D(\mathbb{C})$ .

- ▶ The matrices  $A_i$  for  $i = 1 \dots d$  are the free parameters
- ▶ The size  $D$  of the matrices is the **bond dimension** (quantifies freedom)
- ▶ Correlation functions (and  $\langle H \rangle$ ) efficiently computable
- ▶ Optimizing over  $A$  provably gives good results for gapped  $H$

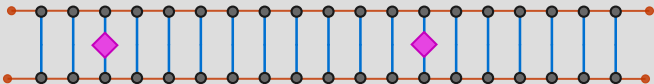
# MPS in graphical notation

$$|A, L, R\rangle = \sum_{i_1, i_2, \dots, i_n} \langle L | A_{i_1}(1) A_{i_2}(2) \cdots A_{i_n}(n) | R \rangle |i_1, \dots, i_n\rangle$$

Notation:  $[A_i]_{\mathbf{k}, \mathbf{l}} =$   and  $\mathbf{k} \text{ --- } \mathbf{l} = \sum \delta_{\mathbf{k}, \mathbf{l}}$  gives:

$$|A, L, R\rangle =$$


## Example: computation of correlations

$$\langle A | \mathcal{O}(i_k) \mathcal{O}(i_\ell) | A \rangle =$$


can be done efficiently by iterating 2 maps:

$$\Phi =$$


$$\text{ and } \Phi_{\mathcal{O}} =$$


# (Continuous) matrix product states

Taking the simplest tensor network and scaling it up to QFT

# Continuous Matrix Product States

**Type of ansatz** for bosons on a fine grained lattice

- ▶ Matrices  $A_{i_k}(x)$  where the index  $i_k$  corresponds to  $\psi^{\dagger i_k}(x)|0\rangle$  in physical space.

## Informal cMPS definition

$$A_0 = \mathbb{1} + \varepsilon Q$$

$$A_1 = \varepsilon R$$

$$A_2 = \frac{(\varepsilon R)^2}{\sqrt{2}}$$

...

$$A_n = \frac{(\varepsilon R)^n}{\sqrt{n}}$$

so we go from  $\infty$  to 2 matrices

Fixed by:

- ▶ Finite particle number

$$\begin{array}{cccccc} 0 & 0 & 0 & 0 & 0 & 0 \\ | & | & | & | & | & | \\ \square & \square & \square & \square & \square & \square \end{array} \propto 1$$

$$\begin{array}{cccccc} 0 & 1 & 0 & 0 & 0 & 0 \\ | & | & | & | & | & | \\ \square & \square & \square & \square & \square & \square \end{array} \propto \varepsilon$$

- ▶ Consistency

$$\begin{array}{cc} 1 & 1 \\ | & | \\ \square & \square \end{array} \approx \begin{array}{cc} 2 & 0 \\ | & | \\ \square & \square \end{array}$$

# Continuous Matrix Product States

Introduced by Verstraete and Cirac in 2010

## Definition

$$|Q, R, \omega\rangle = \text{tr} \left[ \mathcal{P} \exp \left\{ \int_0^L dx \, Q \otimes \mathbb{1} + R \otimes \psi^\dagger(x) \right\} \right] |0\rangle_\psi$$

- ▶  $Q, R$  are  $D \times D$  matrices,
- ▶ The trace is taken over this auxiliary matrix space
- ▶  $[\psi(x), \psi^\dagger(y)] = \delta(x - y)$  acts on the physical QFT Hilbert space

**Idea:** A generalized coherent state

# Computations

Some correlation functions

$$\langle \hat{\psi}(x)^\dagger \hat{\psi}(x) \rangle = \text{Tr} [e^{TL} (R \otimes \bar{R})]$$

$$\langle \hat{\psi}(x)^\dagger \hat{\psi}(0)^\dagger \hat{\psi}(0) \hat{\psi}(x) \rangle = \text{Tr} [e^{T(L-x)} (R \otimes \bar{R}) e^{Tx} (R \otimes \bar{R})]$$

$$\left\langle \hat{\psi}(x)^\dagger \left[ -\frac{d^2}{dx^2} \right] \hat{\psi}(x) \right\rangle = \text{Tr} [e^{TL} ([Q, R] \otimes [\bar{Q}, \bar{R}])]$$

with  $T = Q \otimes \mathbb{1} + \mathbb{1} \otimes \bar{Q} + R \otimes \bar{R}$

## Example

Lieb-Liniger Hamiltonian

$$\mathcal{H} = \int_{-\infty}^{+\infty} dx \left[ \frac{d\hat{\psi}^\dagger}{dx} \frac{d\hat{\psi}}{dx} - \mu \hat{\psi}^\dagger \hat{\psi} + c \hat{\psi}^\dagger \hat{\psi}^\dagger \hat{\psi} \hat{\psi} \right]$$

Solve by **minimizing**:  $\langle Q, R | \mathcal{H} | Q, R \rangle = f(Q, R)$



# Standard CMPS and $\phi^4$

Applying cMPS to the  $\phi^4$  Hamiltonian

$$\langle Q, R | \hat{h}_{\phi^4} | Q, R \rangle = \infty$$

Oh no!

The short distance behavior of cMPS is the wrong one, even the free theory is hard to approximate.

# Going relativistic

Infusing some “high-energy” knowledge into tensor networks

# Towards relativistic CMPS

Local basis in position of the QFT:  $\psi^\dagger, \phi, \pi, |0\rangle_\psi$

Diagonal basis of the free part:  $a_k^\dagger, |0\rangle_a$

## Bogoliubov transform

Go from  $\hat{\psi}(x), \hat{\psi}^\dagger(x)$  to  $a(p), a^\dagger(p)$  with

$$a(p) = \frac{1}{\sqrt{2}} \left( \sqrt{\omega_p} \hat{\phi}(p) + \frac{\hat{\pi}(p)}{\sqrt{\omega_p}} \right) \quad \text{with} \quad \omega_p = \sqrt{p^2 + m^2}$$

which yields

$$H_0 = \int dp \, \omega_p \, \frac{1}{2} (a_p^\dagger a_p + a_p a_p^\dagger)$$

Go from  $|0\rangle_\psi$  to  $|0\rangle_a$

and

Go from  $\psi(x)$  to  $a(x) = \int dp \, a(p) e^{ipx} \neq \psi(x)$

# Relativistic CMPS

## Definition

$$|R, Q\rangle = \text{tr} \left\{ \mathcal{P} \exp \left[ \int dx Q \otimes \mathbb{1} + R \otimes a^\dagger(x) \right] \right\} |0\rangle_a$$

Some properties

1.  $|0, 0\rangle = |0\rangle_a$  is the ground state of  $H_0$  hence exact CFT UV fixed point (because interaction super-renormalizable)
2.  $\langle Q, R | h_{\phi^4} | Q, R \rangle$  is finite for all  $Q, R$  (not trivial)

# Consequence on the Hamiltonian

Hamiltonian density in  $a(x)$  basis

$H$  is local in  $\psi(x)$ , not in  $a(x)$ ...

$$\begin{aligned} H = & \int dx_1 dx_2 D(x_1 - x_2) a^\dagger(x_1) a(x_2) \\ & + \int dx_1 dx_2 dx_3 dx_4 K(x_1, x_2, x_3, x_4) a(x_1) a(x_2) a(x_3) a(x_4) + 4a^\dagger a a a + 3a^\dagger a^\dagger a a \\ & + \text{h.c.} \end{aligned}$$

But fortunately exponentially decreasing:  $K$  is horrible, but decays  $\propto e^{-m|x|}$ .

# The nightmarish optimization

Compute  $e_0 = \langle Q, R | h_{\phi^4} | Q, R \rangle$  and  $\nabla_{Q,R} e_0$

1. Contains an algebraic part identical to standard cMPS
2. Involves horrible quadruple integrals without analytic solutions

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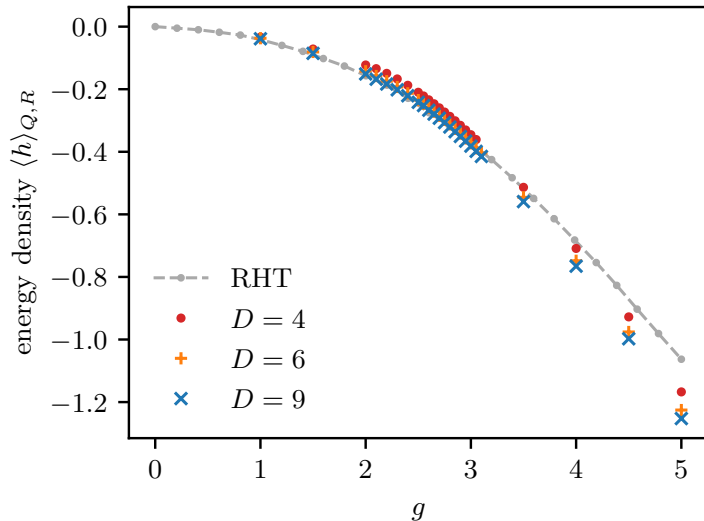
Optimization with naive gradient descent, BFGS, or conjugate gradient leads to plateaus  $\implies$  does not work

One needs to do TDVP (i.e. variational optimization with a metric), slightly more complicated but “standard” and works. Equivalent with imaginary time evolution with large time-step.



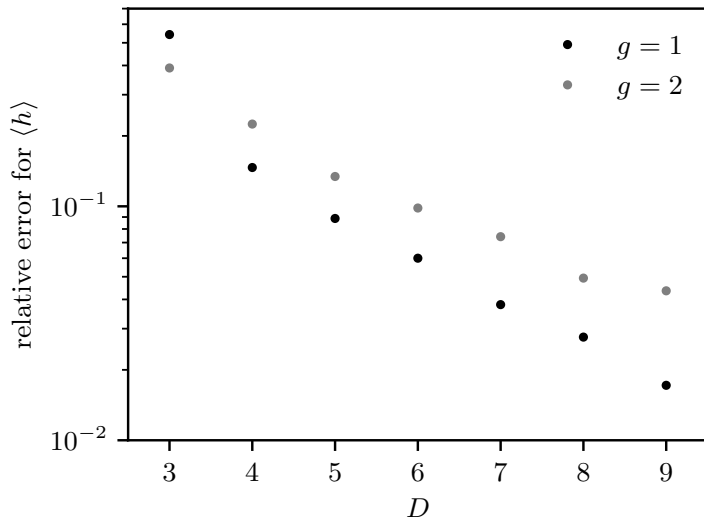
## Results and discussion

# Results



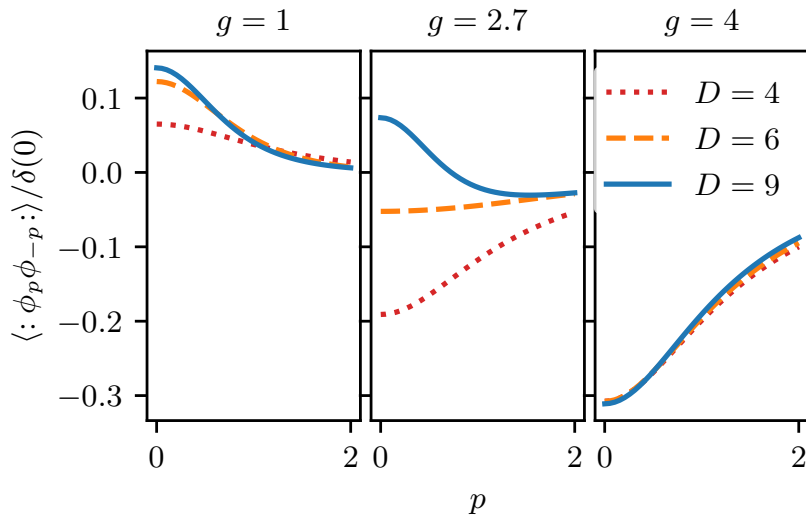
Compared with the Renormalized Hamiltonian Truncation results of Rychkov and Vitale from 2015.

# Results



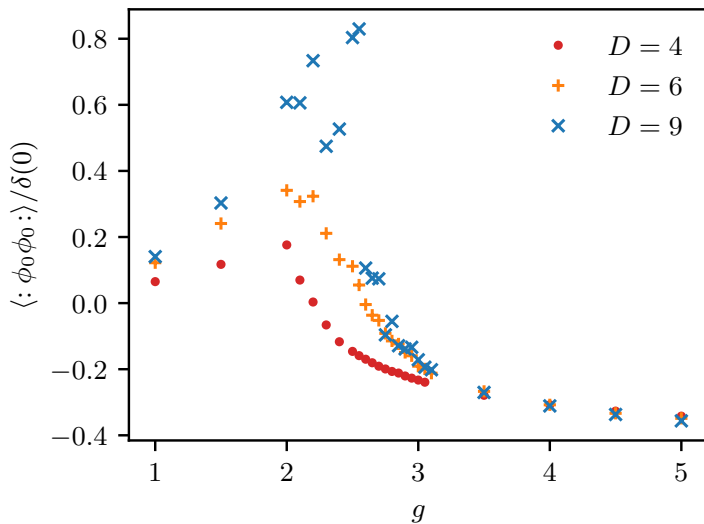
Compared with the “high precision” Renormalized Hamiltonian Truncation results of Elias Miro, Rychkov, and Vitale from 2017 for  $g=1$  and  $g=2$

# Results



Normal ordered momentum two point function  $\langle : \phi_p \phi_{-p} : \rangle_{Q,R}$

# Results



Normal ordered momentum two point function at zero momentum  $\langle : \phi_0 \phi_0 : \rangle_{Q,R}$

# Comparison with renormalized Hamiltonian truncation

## Ren. Hamiltonian truncation

IR cutoff  $L$ , energy truncation  $E_T$

- ▶ Uses a vector space
- ▶ Function to minimize is quadratic, hence linear problem
- ▶ Number of parameters  $\propto e^{L \times E_T}$
- ▶ Error  $\propto 1/E_T^3$
- ▶ Spectrum easy

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## Relativistic CMPS

entanglement truncation  $D$

- ▶ Uses a manifold
- ▶ Minimization is a priori non-trivial but doable
- ▶ Number of parameters  $\propto D^2$
- ▶ Error  $o(1/D^\alpha)$ ,  $\forall \alpha$  (folklore)
- ▶ Fixed  $t$  correl. functions easy

Note: real world not asymptotic. RCMPS has expensive prefactors, and RHT can use reliable extrapolations

# Extensions

- ▶ To other bosonic theories in  $1 + 1$  with poly  $V(\phi)$   $\rightarrow$  easy
- ▶ To fermionic theories in  $1 + 1$   $\rightarrow$  feasible
- ▶ To  $2 + 1$  and  $3 + 1$  dimensions  $\rightarrow$  very difficult  
(lattice tensor networks will probably rule in  $1 + 1$  and  $2 + 1$  for numerics)

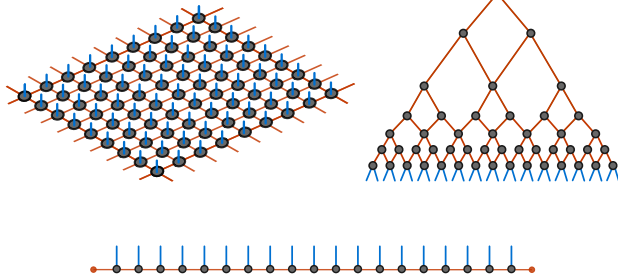


# Summary

1. New ansatz for  $1 + 1$  relativistic QFT
2. No cutoff, UV or IR (a first?)
3. UV is captured exactly even at  $D = 0$
4. Efficient (cost poly  $D$ , error superpoly  $1/D$ )
5. Rigorous (variational)

Bonus: more on tensor network states

# Tensor network states: a tool



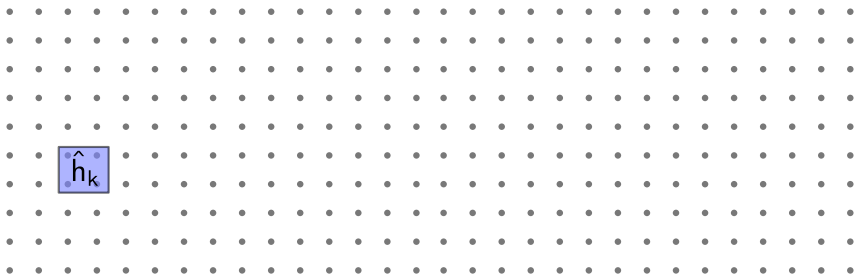
## Applications

- ▶ Quantum information theory
- ▶ Statistical Mechanics
- ▶ Quantum gravity
- ▶ **Many-body quantum**

## Negative theology

- ▶ **Not** covariant/geometric objects  $g_{\mu\nu}$  or  $R_{\mu\nu\kappa}^{\sigma}$
- ▶ **Not tensor models** [Rivasseau, Gurau, ...]

# Many-body problem



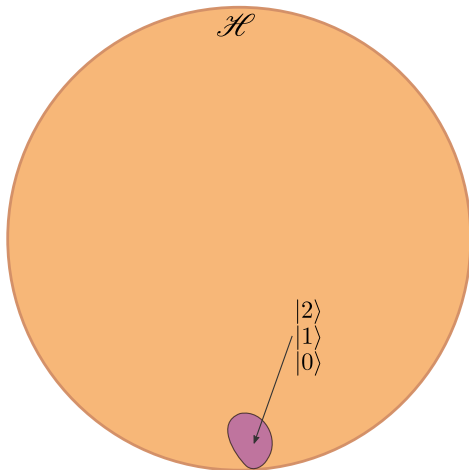
## Problem

Finding low energy states of

$$\hat{H} = \sum_{k=1}^N \hat{h}_k$$

is **hard** because  $\dim \mathcal{H} \propto D^N$

# Variational optimization



Generic (spin  $d/2$ ) state  $\in \mathcal{H}$ :

$$|\psi\rangle = \sum_{i_1, i_2, \dots, i_n} c_{i_1, i_2, \dots, i_n} |i_1, \dots, i_n\rangle$$

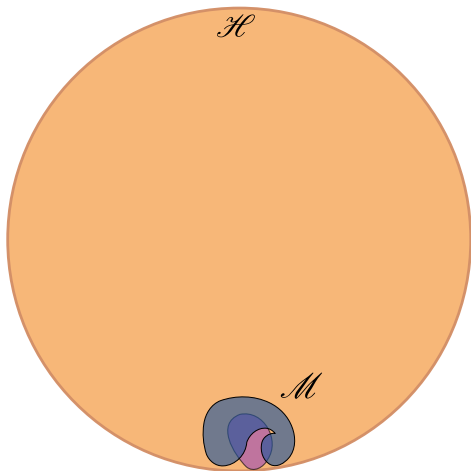
## Exact variational optimization

To find the ground state:

$$|0\rangle = \min_{|\psi\rangle \in \mathcal{H}} \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle}$$

►  $\dim \mathcal{H} = d^N$

# Variational optimization



Generic (spin  $d/2$ ) state  $\in \mathcal{H}$ :

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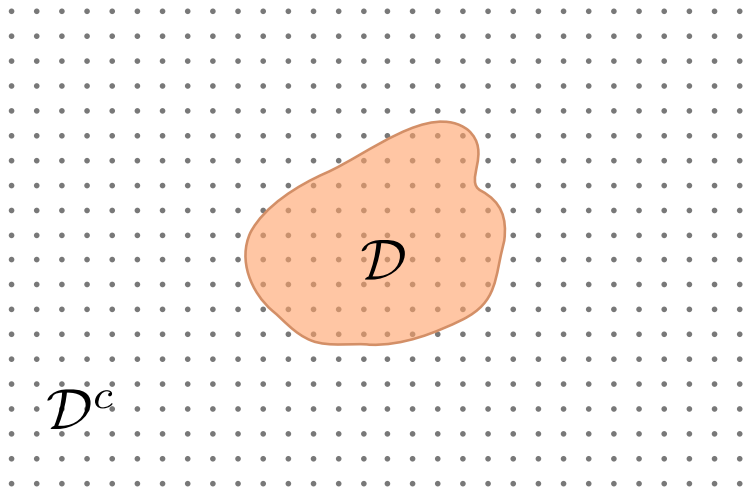
## Approx. variational optimization

To find the ground state:

$$|0\rangle = \min_{|\psi\rangle \in \mathcal{M}} \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle}$$

►  $\dim \mathcal{M} \propto \text{Poly}(N)$  or fixed

# Interesting states are weakly entangled



**Low energy state**

$$|\psi\rangle = |0\rangle \text{ or } |1\rangle \dots$$

Reduced density  
matrix

$$\rho = \text{tr}_{\mathcal{D}^c} [|\psi\rangle\langle\psi|]$$

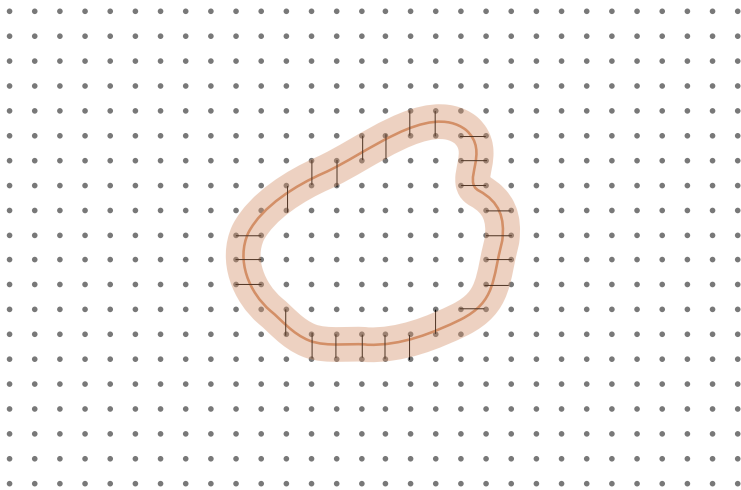
Entanglement  
entropy

$$S = -\text{tr} [\rho \log \rho]$$

**Area law**

$$S \propto |\partial\mathcal{D}|$$

# Interesting states are weakly entangled



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Reduced density  
matrix

$$\rho = \text{tr}_{\mathcal{D}^c} [|\psi\rangle\langle\psi|]$$

Entanglement  
entropy

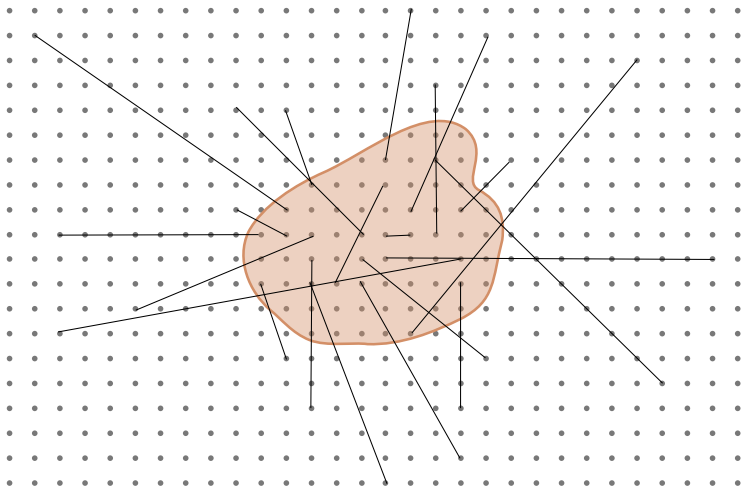
$$S = -\text{tr} [\rho \log \rho]$$

**Area law**

$$S \propto |\partial\mathcal{D}|$$



# Typical states are strongly entangled



## Random state

$$|\psi\rangle = U_{\text{Haar}}|\text{trivial}\rangle$$

Reduced density matrix

$$\rho = \text{tr}_{\mathcal{D}^c} [|\psi\rangle\langle\psi|]$$

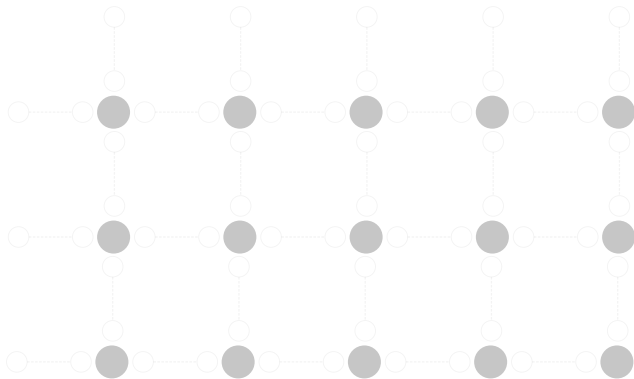
Entanglement entropy

$$S = -\text{tr}[\rho \log \rho]$$

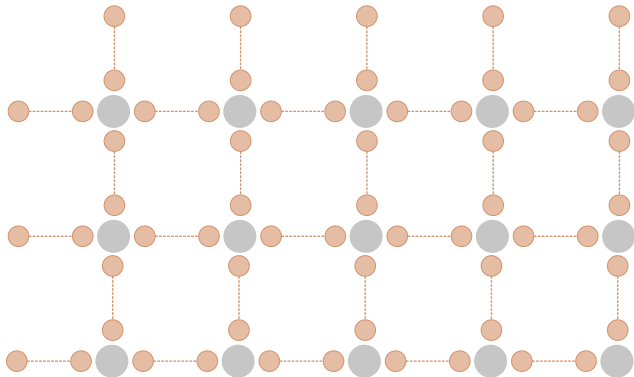
## Volume law

$$S \propto |\mathcal{D}|$$

# Constructing weakly entangled states



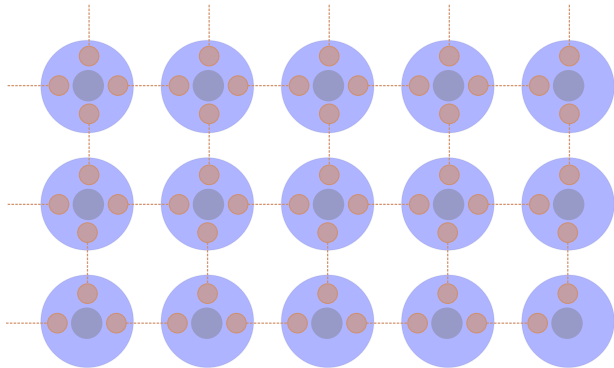
# Constructing weakly entangled states



1. Put auxiliary **maximally entangled** states between sites

$$\text{---} = \sum_{j=1}^D |j\rangle |j\rangle$$

# Constructing weakly entangled states



1. Put auxiliary **maximally entangled** states between sites

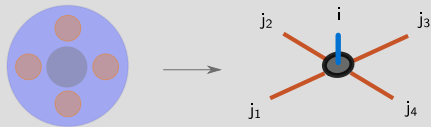
$$\text{---} = \sum_{j=1}^D |j\rangle\langle j|$$

2. Map to initial Hilbert space on each site

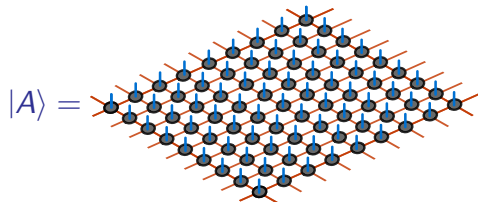
$$\text{---} = A : \mathbb{C}^{4D} \rightarrow \mathbb{C}^d$$

# Tensor network states: definition

Why “tensor” network?



$$A : \mathbb{C}^{4D} \rightarrow \mathbb{C}^d \longrightarrow A^i_{j_1, j_2, j_3, j_4}$$



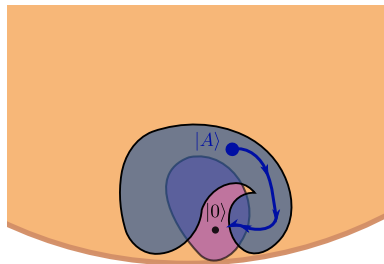
with tensor contractions on links

## Optimization

Find best  $A$  for fixed  $\chi$  ( $d \times D^4$  coeff.)

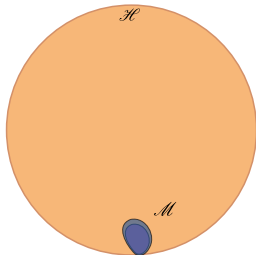
$$E_0 \simeq \min_A \frac{\langle A | \hat{H} | A \rangle}{\langle A | A \rangle}$$

for example go down  $\frac{\partial E}{\partial A^i_{j_1, j_2, j_3, j_4}}$

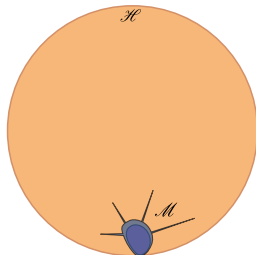


# Some facts

1 spatial dimension



$\geq 2$  spatial dimension



## Theorems (colloquially)

1. For gapped  $H$ , tensor network states  $|A\rangle$  approximate well  $|0\rangle$  as  $D$  increases
2. **All**  $|A\rangle$  are ground states of local gapped  $H$

## Folklore

1. For gapped  $H$ , tensor network states  $|A\rangle$  approximate well  $|0\rangle$  as  $D$  increases
2. **Most**  $|A\rangle$  are ground states of local gapped  $H$