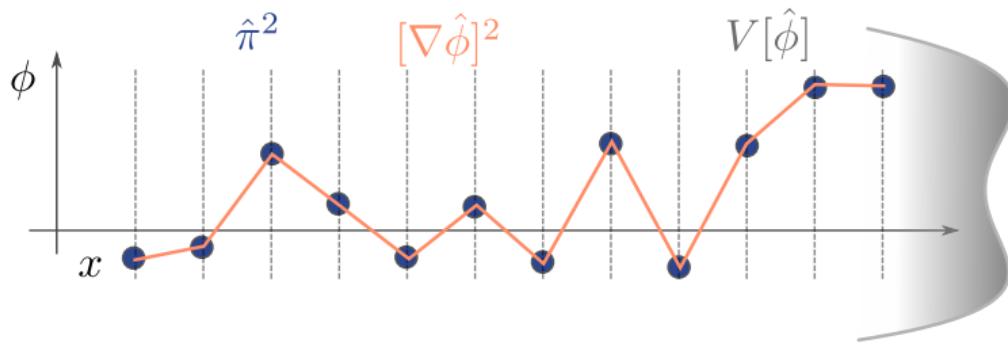


# Variational method in relativistic QFT

## without cutoff

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Seminar, UCC, University of Barcelona  
March 19th, 2021



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$\phi_2^4$  - pile of dirt



$QCD$  - Everest



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## Goal - ideal - philosophy: an apology of the pile of dirt approach

Abandon analytical solutions, but find robust methods that can solve simple QFTs non-perturbatively and, if possible, to machine precision, *without cheating*.

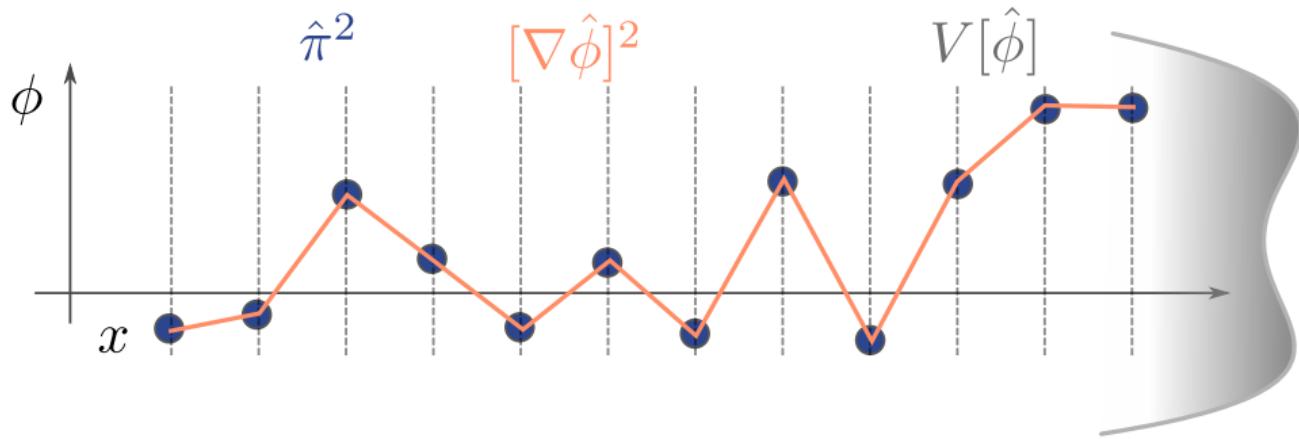
# Outline

1.  $\phi_2^4$  for beginners
2. The variational method
3. Tensor networks on the lattice
4. Matrix product states and their continuum limit
5. Going relativistic
6. Results and discussion

# $\phi_2^4$ for beginners

and condensed matter theorists

# Intuitive definition: canonical quantization



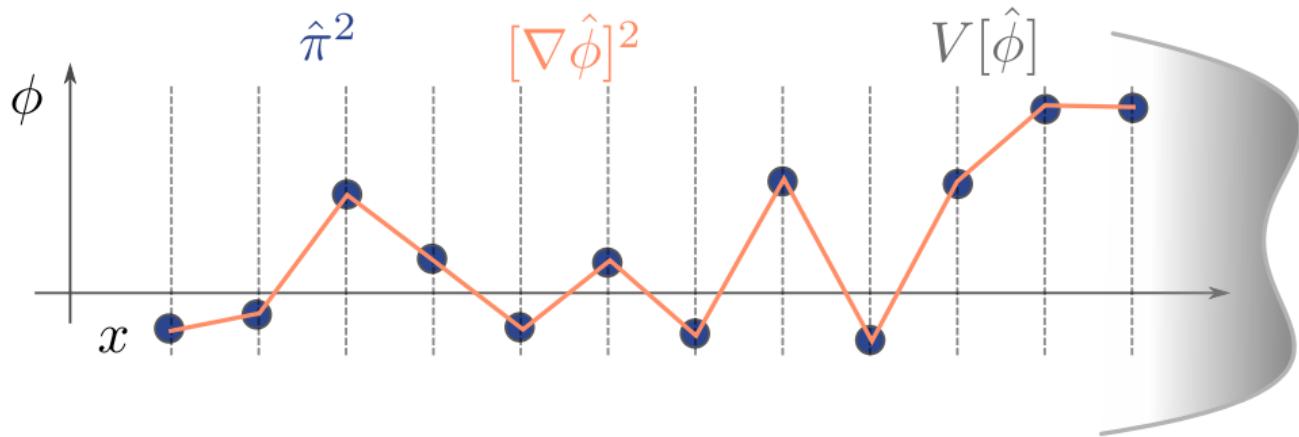
## Hamiltonian

A continuum of nearest neighbor coupled anharmonic oscillators

$$\hat{H} = \int_{\mathbb{R}^d} d^d x \left( \frac{\hat{\pi}(x)^2}{2} \right. \text{on-site inertia} \left. + \frac{[\nabla \hat{\phi}(x)]^2}{2} \right. \text{spatial stiffness} \left. + V(\hat{\phi}(x)) \right. \text{on-site potential}$$

with canonical commutation relations  $[\hat{\phi}(x), \hat{\pi}(y)] = i\delta^d(x - y)\mathbb{1}$  (i.e. bosons)

# Intuitive definition



## Hilbert space

Fock space  $\mathcal{H}_{\text{QFT}} = \mathcal{F}[L^2(\mathbb{R}^d)]$  – just like  $x, p \rightarrow (a, a^\dagger)$  do  $\hat{\pi}, \hat{\phi} \rightarrow \hat{\psi}, \hat{\psi}^\dagger$

$$|\Psi\rangle = \sum_{n=0}^{+\infty} \int dx_1 dx_2 \cdots dx_n \underbrace{\varphi_n(x_1, x_2, \dots, x_n)}_{\text{wave function}} \underbrace{\hat{\psi}^\dagger(x_1) \hat{\psi}^\dagger(x_2) \cdots \hat{\psi}^\dagger(x_n)}_{\text{local oscillator creation}} |\text{vac}\rangle$$

## What are the problems - Hilbert space approach

The Hamiltonian is ill defined on all states in the Hilbert space because of infinite zero point energy *i.e.* terms  $\propto \hat{\psi}(x)\hat{\psi}^\dagger(x)$

$$\langle \Psi_1 | \hat{H} | \Psi_2 \rangle = \pm\infty \text{ and even } \langle \text{vac} | \hat{H} | \text{vac} \rangle \propto \delta^d(0) = +\infty$$

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If the divergent vacuum terms are removed, the Hamiltonian is not bounded from below

$$\forall |\Psi\rangle \in \mathcal{H}, \langle \Psi | \hat{H}_{\text{finite}} | \Psi \rangle = \text{finite but } \exists \Psi_n \text{ s.t. } \lim_{n \rightarrow +\infty} \langle \Psi_n | H_{\text{finite}} | \Psi_n \rangle = -\infty$$

# How are they solved in the free case - Hamiltonian

## Bogoliubov transform

Go from  $\hat{\Psi}(x), \hat{\Psi}^\dagger(x)$  to  $a(p), a^\dagger(p)$  with

$$a(p) = \frac{1}{\sqrt{2}} \left( \sqrt{\omega_p} \hat{\phi}(p) + \frac{\hat{\pi}(p)}{\sqrt{\omega_p}} \right) \quad \text{with} \quad \omega_p = \sqrt{p^2 + m^2}$$

which yields

$$H_0 = \int dp \omega_p \frac{1}{2} (a_p^\dagger a_p + a_p a_p^\dagger)$$

## Solution

- Take  $H_{\text{QFT}} \equiv :H:$
- $|\text{free ground state}\rangle = |\text{vacuum}\rangle_a$
- $\mathcal{H}$  built from  $a_{p_1}^\dagger \cdots a_{p_n}^\dagger |\text{vacuum}\rangle_a$

This solves the problematic free part exactly, and allows to define a finite interaction (in 1+1)

# Rigorous operator definition of $\phi_2^4$

## Renormalized $\phi_2^4$ theory

$$H = \int dx \frac{: \pi^2 :_a}{2} + \frac{: (\nabla \phi)^2 :_a}{2} + \frac{m^2}{2} : \phi^2 :_a + g : \phi^4 :_a$$

(note that  $: \diamond :_a$  depends on  $m$ )

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1. Rigorously defined relativistic QFT without cutoff (Wightman QFT)
2. Vacuum energy density finite
3. Very difficult to solve unless  $g \ll m^2$  (perturbation theory)
4. Phase transition around  $f_c = \frac{g}{4m^2} = 11$  i.e.  $g \simeq 2.7$  in mass units

# The variational method

Solving the non-exactly solvable by guessing well

# Ways to solve the non-exactly-solvable

The two main games in town

1. Perturbative expansions (+ Borel-Padé resummation)
2. Lattice Monte Carlo

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Two “new” deterministic non-perturbative options:

1. Variational method → focus of today
2. Non-perturbative renormalization group (Kadanoff, FRG, Tensor RG, etc.)

The two new methods now rule on (low dimensional) lattice problems thanks to tensor networks → QFT?

# The variational method

In the Hamiltonian formulation:

- ▶ Guess a **finite dimensional submanifold**  $\mathcal{M}$  of the QFT Hilbert space  $\mathcal{H}$
- ▶ Find the ground state by minimizing  $\langle H \rangle$ :

$$|\text{ground}\rangle \simeq |\psi\rangle = \underset{\mathcal{M}}{\operatorname{argmin}} \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle}$$

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## Example: naive Hamiltonian truncation

With an IR cutoff, momenta are discrete. Take as submanifold  $\mathcal{M}$  the **vector space** spanned by:

$$a_{k_1}^\dagger a_{k_2}^\dagger \cdots a_{k_r}^\dagger |0\rangle_a$$

where  $r \leq r_{\max}$  and  $k \leq k_{\max}$  (one possible truncation)

# Feynman's objection

Feynman's requirement for variational wavefunctions in RQFT (1987)

## 1. Extensive parameterization

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All methods so far break one at least:

- ▶ Hamiltonian truncation fails at 1 (but works fairly well through its renormalized refinements)
- ▶ Tensor networks succeed at 1 and 2 but fail (a priori) at 3

Haegeman-Cirac-Osborne-Verschelde-Verstraete fix of 2010: regulate the UV by adding a Lagrange multiplier in the Hamiltonian  $H \rightarrow H + \frac{1}{\Lambda^2}$  regulator

# Tensor network states

The best guess for the many-body problem on the lattice

# Tensor networks in a nutshell

Tensor network states provide a **compressed** representation of low energy states of local Hamiltonians on the lattice

- ▶ Compression possible because **area law**: such states are weakly entangled
- ▶ The “bond dimension”  $D$  quantifies the number of parameters
- ▶ In 1 space dimension, provably efficient (cost poly  $D$ , error superpoly  $1/D$ )

# Matrix Product States (MPS)

## Definition

A MPS for a translation invariant chain of  $N$  qudits ( $\mathbb{C}^d$ ) with periodic boundary conditions is a state

$$|\psi(A)\rangle := \sum_{i_1, i_2, \dots, i_N} \text{tr} [A_{i_1} A_{i_2} \cdots A_{i_N}] |i_1, i_2, \dots, i_N\rangle$$

where  $A_i$  are  $d$  matrices  $\in \mathcal{M}_D(\mathbb{C})$ .

- ▶ The matrices  $A_i$  for  $i = 1 \dots d$  are the free parameters
- ▶ The size  $D$  of the matrices is the **bond dimension** (quantifies freedom)
- ▶ Correlation functions (and  $\langle H \rangle$ ) efficiently computable
- ▶ Optimizing over  $A$  provably gives good results for gapped  $H$

## MPS in graphical notation

$$|A, L, R\rangle = \sum_{i_1, i_2, \dots, i_n} \langle L | A_{i_1}(1) A_{i_2}(2) \cdots A_{i_n}(n) | R \rangle |i_1, \dots, i_n\rangle$$

**Notation:**  $[A_i]_{k,l} =$   and  $k \text{---} l = \sum \delta_{k,l}$  gives:

## Example: computation of correlations

$$\langle A | \mathcal{O}(i_k) \mathcal{O}(i_\ell) | A \rangle = \quad \text{Diagram showing two horizontal red lines with vertical blue lines connecting them. Two pink diamond shapes are placed on the vertical lines between the two red lines. The horizontal lines have 15 dots, and the vertical lines have 14 dots. The pink diamonds are at the 8th and 13th vertical line positions. The diagram is centered on the page.}$$

can be done efficiently by iterating 2 maps:

$$\Phi = \begin{array}{c} \text{---} \\ | \\ \text{---} \end{array} \quad \text{and} \quad \Phi_{\mathcal{O}} = \begin{array}{c} \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array}$$

# (Continuous) matrix product states

Taking the simplest tensor network and scaling it up to QFT

# Continuous Matrix Product States

Type of ansatz for bosons on a fine grained lattice

- Matrices  $A_{i_k}(x)$  where the index  $i_k$  corresponds to  $\psi^{\dagger i_k}(x)|0\rangle$  in physical space.

## Informal cMPS definition

$$A_0 = \mathbb{1} + \varepsilon Q$$

$$A_1 = \varepsilon R$$

$$A_2 = \frac{(\varepsilon R)^2}{\sqrt{2}}$$

...

$$A_n = \frac{(\varepsilon R)^n}{\sqrt{n}}$$

so we go from  $\infty$  to 2 matrices

Fixed by:

- Finite particle number

$$\begin{array}{ccccccc} 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \square & \square & \square & \square & \square & \square & \square \end{array} \propto 1$$

$$\begin{array}{ccccccc} 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ \square & \square & \square & \square & \square & \square & \square \end{array} \propto \varepsilon$$

- Consistency

$$\begin{array}{cc} \begin{array}{c} 1 \\ \square \end{array} & \begin{array}{c} 1 \\ \square \end{array} \end{array} \approx \begin{array}{cc} \begin{array}{c} 2 \\ \square \end{array} & \begin{array}{c} 0 \\ \square \end{array} \end{array}$$

# Continuous Matrix Product States

Introduced by Verstraete and Cirac in 2010

## Definition

$$|Q, R, \omega\rangle = \text{tr} \left[ \mathcal{P} \exp \left\{ \int_0^L dx \ Q \otimes \mathbb{1} + R \otimes \psi^\dagger(x) \right\} \right] |0\rangle_\psi$$

- ▶  $Q, R$  are  $D \times D$  matrices,
- ▶ The trace is taken over this auxiliary matrix space
- ▶  $[\psi(x), \psi^\dagger(y)] = \delta(x - y)$  acts on the physical QFT Hilbert space

**Idea:** A generalized coherent state

# Computations

Some correlation functions

$$\langle \hat{\psi}(x)^\dagger \hat{\psi}(x) \rangle = \text{Tr} [e^{TL}(R \otimes \bar{R})]$$

$$\langle \hat{\psi}(x)^\dagger \hat{\psi}(0)^\dagger \hat{\psi}(0) \hat{\psi}(x) \rangle = \text{Tr} [e^{T(L-x)}(R \otimes \bar{R}) e^{Tx}(R \otimes \bar{R})]$$

$$\left\langle \hat{\psi}(x)^\dagger \left[ -\frac{d^2}{dx^2} \right] \hat{\psi}(x) \right\rangle = \text{Tr} [e^{TL}([Q, R] \otimes [\bar{Q}, \bar{R}])]$$

with  $T = Q \otimes \mathbb{1} + \mathbb{1} \otimes \bar{Q} + R \otimes \bar{R}$

## Example

Lieb-Liniger Hamiltonian

$$\mathcal{H} = \int_{-\infty}^{+\infty} dx \left[ \frac{d\hat{\psi}^\dagger}{dx} \frac{d\hat{\psi}}{dx} - \mu \hat{\psi}^\dagger \hat{\psi} + c \hat{\psi}^\dagger \hat{\psi}^\dagger \hat{\psi} \hat{\psi} \right]$$

Solve by **minimizing**:  $\langle Q, R | \mathcal{H} | Q, R \rangle = f(Q, R)$

# Standard CMPS and $\phi^4$

Applying cMPS to the  $\phi^4$  Hamiltonian

$$\langle Q, R | \hat{h}_{\phi^4} | Q, R \rangle = \infty$$

Oh no!

The short distance behavior of cMPS is the wrong one, even the free theory is hard to approximate.

# Going relativistic

Infusing some “high-energy” knowledge into tensor networks

# Towards relativistic CMPS

Local basis in position of the QFT:  $\psi^\dagger, \phi, \pi, |0\rangle_\psi$

Diagonal basis of the free part:  $a_k^\dagger, |0\rangle_a$

## Bogoliubov transform

Go from  $\hat{\psi}(x), \hat{\psi}^\dagger(x)$  to  $a(p), a^\dagger(p)$  with

$$a(p) = \frac{1}{\sqrt{2}} \left( \sqrt{\omega_p} \hat{\phi}(p) + \frac{\hat{\pi}(p)}{\sqrt{\omega_p}} \right) \quad \text{with} \quad \omega_p = \sqrt{p^2 + m^2}$$

which yields

$$H_0 = \int dp \omega_p \frac{1}{2} (a_p^\dagger a_p + a_p a_p^\dagger)$$

Go from  $|0\rangle_\psi$  to  $|0\rangle_a$

and

Go from  $\psi(x)$  to  $a(x) = \int dp a(p) e^{ipx} \neq \psi(x)$

# Relativistic CMPS

## Definition

$$|R, Q\rangle = \text{tr} \left\{ \mathcal{P} \exp \left[ \int dx Q \otimes \mathbb{1} + R \otimes a^\dagger(x) \right] \right\} |0\rangle_a$$

Some properties

1.  $|0, 0\rangle = |0\rangle_a$  is the ground state of  $H_0$  hence exact CFT UV fixed point (because interaction super-renormalizable)
2.  $\langle Q, R | h_{\phi^4} | Q, R \rangle$  is finite for all  $Q, R$  (not trivial)

# Consequence on the Hamiltonian

## Hamiltonian density in $a(x)$ basis

$H$  is local in  $\psi(x)$ , not in  $a(x)$ ...

$$\begin{aligned} H = & \int dx_1 dx_2 D(x_1 - x_2) a^\dagger(x_1) a(x_2) \\ & + \int dx_1 dx_2 dx_3 dx_4 K(x_1, x_2, x_3, x_4) a(x_1) a(x_2) a(x_3) a(x_4) + 4a^\dagger a a a + 3a^\dagger a^\dagger a a \\ & + \text{h.c.} \end{aligned}$$

But fortunately exponentially decreasing:  $K$  is horrible, but decays  $\propto e^{-m|x|}$ .

# The nightmarish optimization

Compute  $e_0 = \langle Q, R | h_{\phi^4} | Q, R \rangle$  and  $\nabla_{Q, R} e_0$

1. Contains an algebraic part identical to standard cMPS
2. Involves horrible quadruple integrals without analytic solutions

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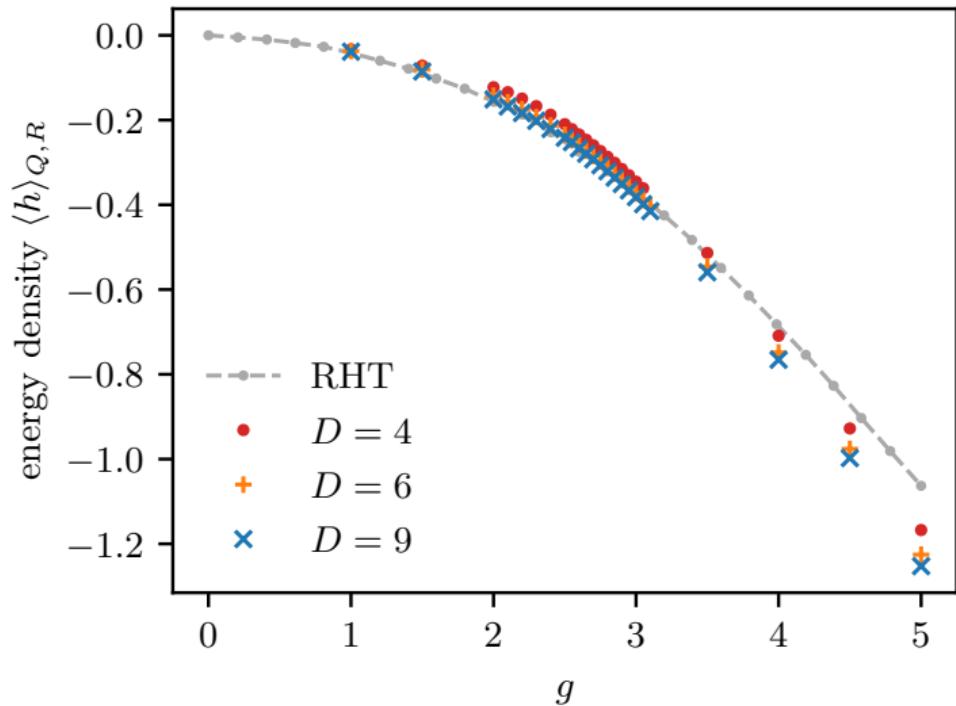
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One needs to do TDVP (i.e. variational optimization with a metric), slightly more complicated but “standard” and works. Equivalent with imaginary time evolution with large time-step.

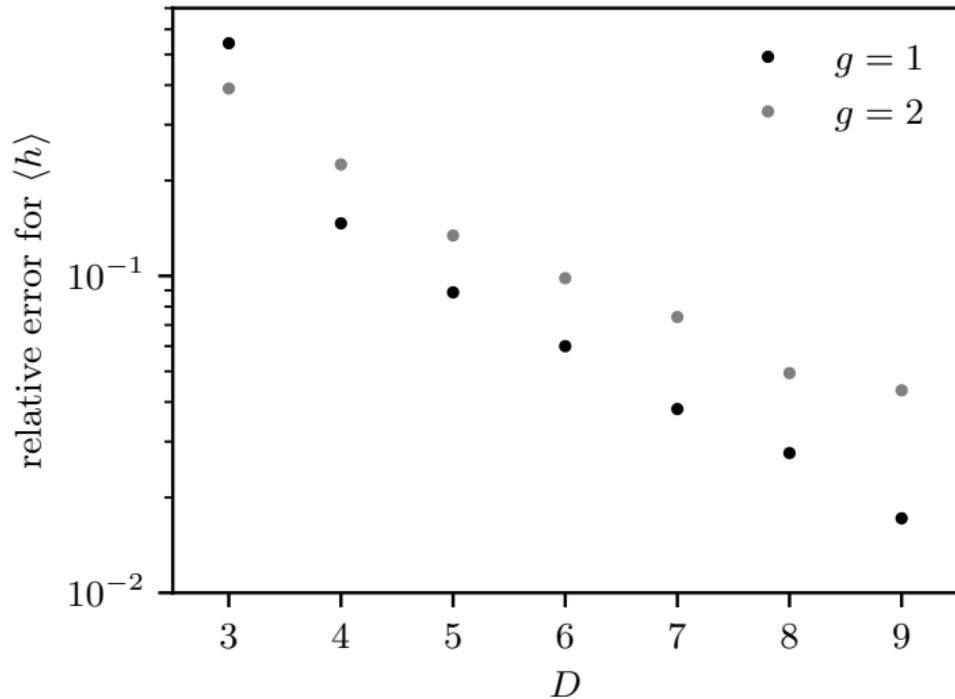
# Results and discussion

# Results



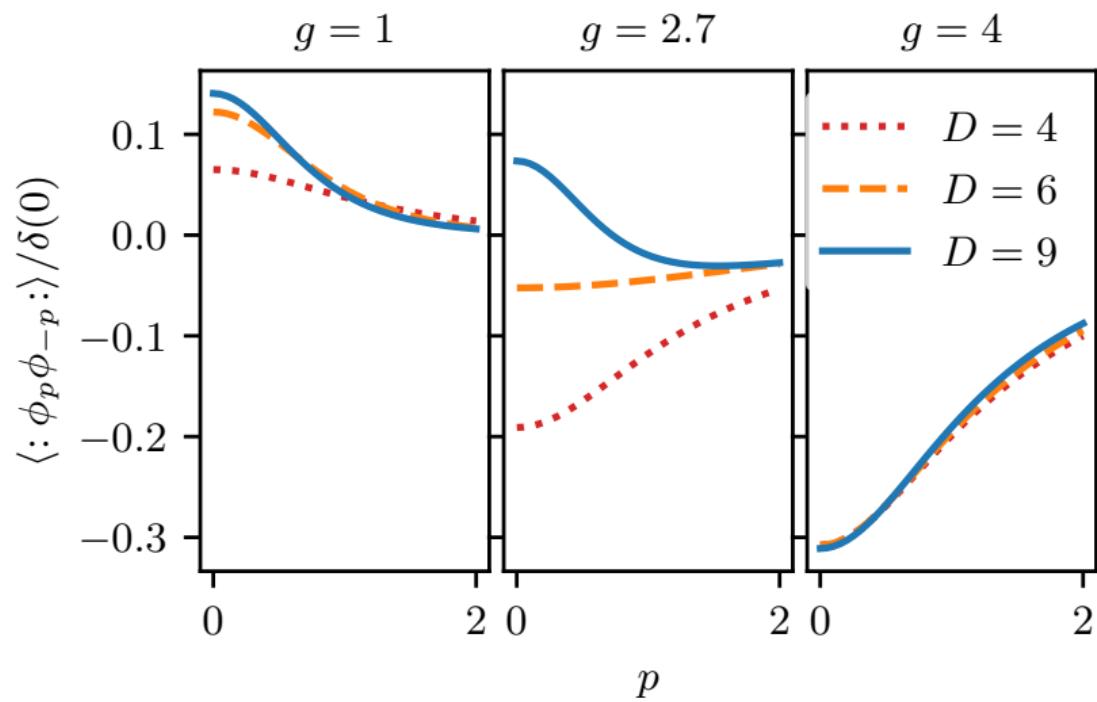
Compared with the Renormalized Hamiltonian Truncation results of Rychkov and Vitale from 2015.

# Results



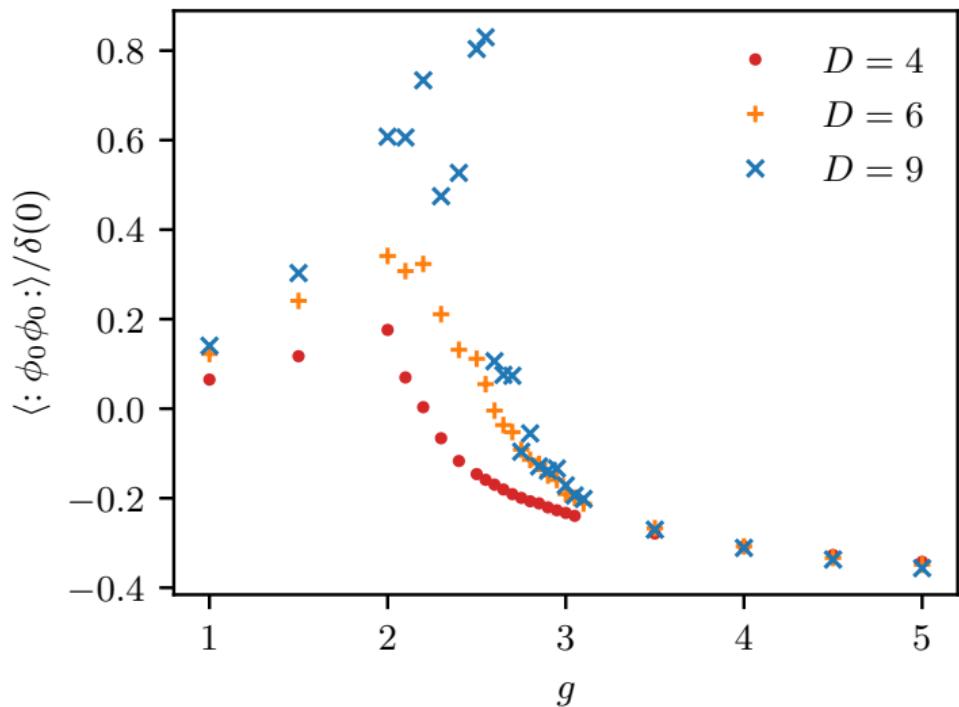
Compared with the “high precision” Renormalized Hamiltonian Truncation results of Elias Miro, Rychkov, and Vitale from 2017 for  $g = 1$  and  $g = 2$

# Results



Normal ordered momentum two point function  $\langle :\phi_p \phi_{-p}:\rangle_{Q,R}$

# Results



Normal ordered momentum two point function at zero momentum  $\langle : \phi_0 \phi_0 : \rangle_{Q,R}$

# Comparison with renormalized Hamiltonian truncation

## Ren. Hamiltonian truncation

IR cutoff  $L$ , energy truncation  $E_T$

- ▶ Uses a vector space
- ▶ Function to minimize is quadratic, hence linear problem
- ▶ Number of parameters  $\propto e^{L \times E_T}$
- ▶ Error  $\propto 1/E_T^3$
- ▶ Spectrum easy

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## Relativistic CMPS

entanglement truncation  $D$

- ▶ Uses a manifold
- ▶ Minimization is a priori non-trivial but doable
- ▶ Number of parameters  $\propto D^2$
- ▶ Error  $\propto (1/D^\alpha)$ ,  $\forall \alpha$  (folklore)
- ▶ Fixed  $t$  correl. functions easy

Note: real world not asymptotic. RCMPS has expensive prefactors, and RHT can use reliable extrapolations

# Extensions

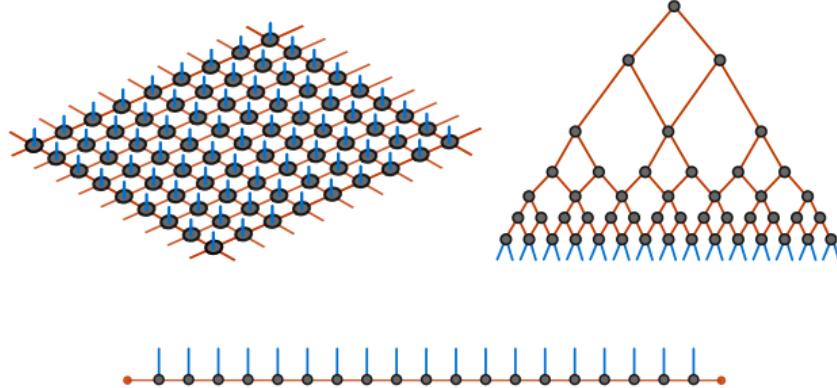
- ▶ To other bosonic theories in  $1+1$  with poly  $V(\phi)$   $\rightarrow$  easy
- ▶ To fermionic theories in  $1+1$   $\rightarrow$  feasible
- ▶ To  $2+1$  and  $3+1$  dimensions  $\rightarrow$  very difficult  
(lattice tensor networks will probably rule in  $1+1$  and  $2+1$  for numerics)

# Summary

1. New ansatz for  $1 + 1$  relativistic QFT
2. No cutoff, UV or IR (a first?)
3. UV is captured exactly even at  $D = 0$
4. Efficient (cost poly  $D$ , error superpoly  $1/D$ )
5. Rigorous (variational)

Bonus: more on tensor network states

# Tensor network states: a tool



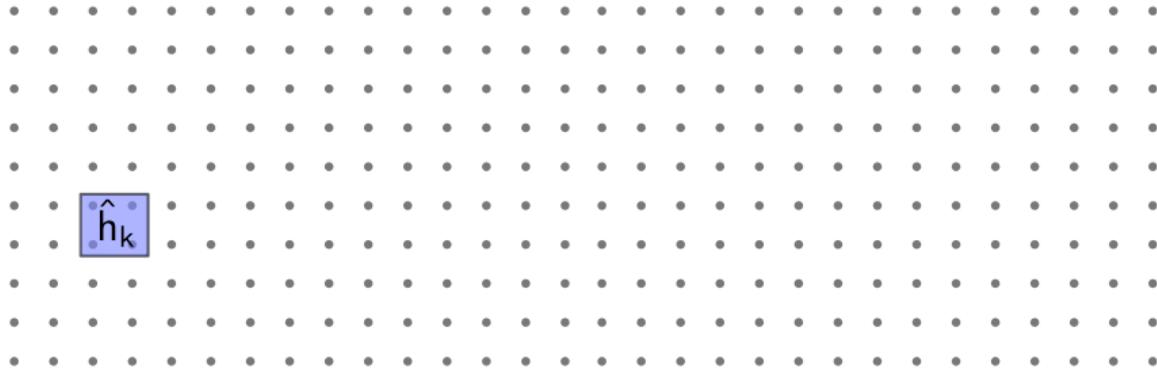
## Applications

- ▶ Quantum information theory
- ▶ Statistical Mechanics
- ▶ Quantum gravity
- ▶ Many-body quantum

## Negative theology

- ▶ Not covariant/geometric objects  $g_{\mu\nu}$  or  $R_{\mu\nu\kappa}^{\sigma}$
- ▶ Not tensor models [Rivasseau, Gurau, ...]

# Many-body problem



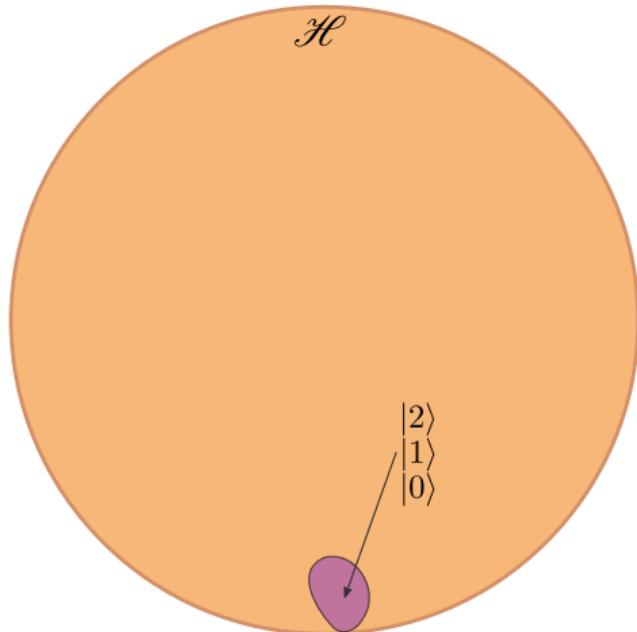
## Problem

Finding low energy states of

$$\hat{H} = \sum_{k=1}^N \hat{h}_k$$

is **hard** because  $\dim \mathcal{H} \propto D^N$

# Variational optimization



Generic (spin  $d/2$ ) state  $\in \mathcal{H}$ :

$$|\Psi\rangle = \sum_{i_1, i_2, \dots, i_n} c_{i_1, i_2, \dots, i_N} |i_1, \dots, i_N\rangle$$

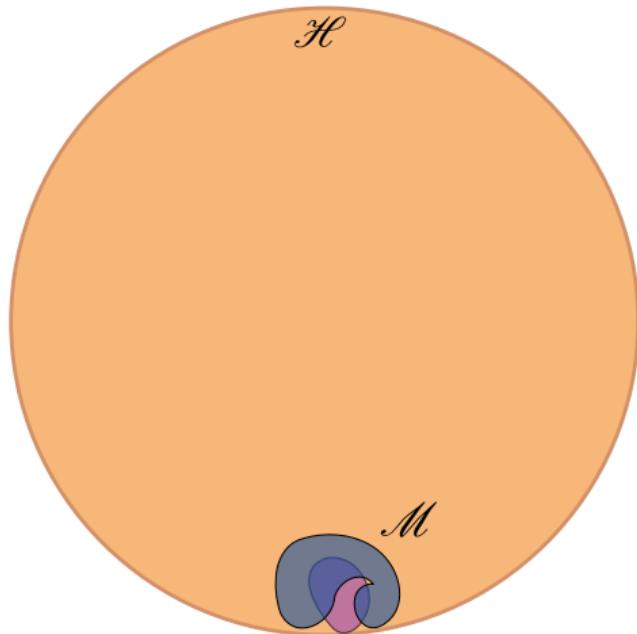
## Exact variational optimization

To find the ground state:

$$|0\rangle = \min_{|\Psi\rangle \in \mathcal{H}} \frac{\langle \Psi | H | \Psi \rangle}{\langle \Psi | \Psi \rangle}$$

►  $\dim \mathcal{H} = d^N$

# Variational optimization



Generic (spin  $d/2$ ) state  $\in \mathcal{H}$ :

$$|\psi\rangle = \sum_{i_1, i_2, \dots, i_n} c_{i_1, i_2, \dots, i_N} |i_1, \dots, i_N\rangle$$

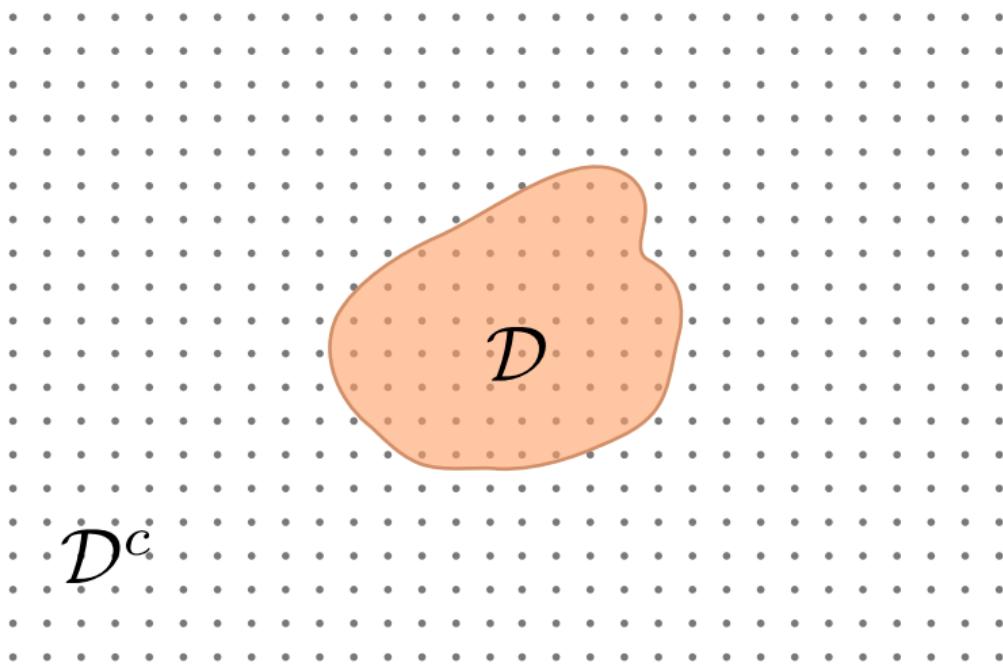
## Approx. variational optimization

To find the ground state:

$$|0\rangle = \min_{|\psi\rangle \in \mathcal{M}} \frac{\langle \psi | H | \psi \rangle}{\langle \psi | \psi \rangle}$$

►  $\dim \mathcal{M} \propto \text{Poly}(N)$  or fixed

# Interesting states are weakly entangled



**Low energy state**

$$|\psi\rangle = |0\rangle \text{ or } |1\rangle \dots$$

Reduced density matrix

$$\rho = \text{tr}_{\mathcal{D}^c} [|\psi\rangle\langle\psi|]$$

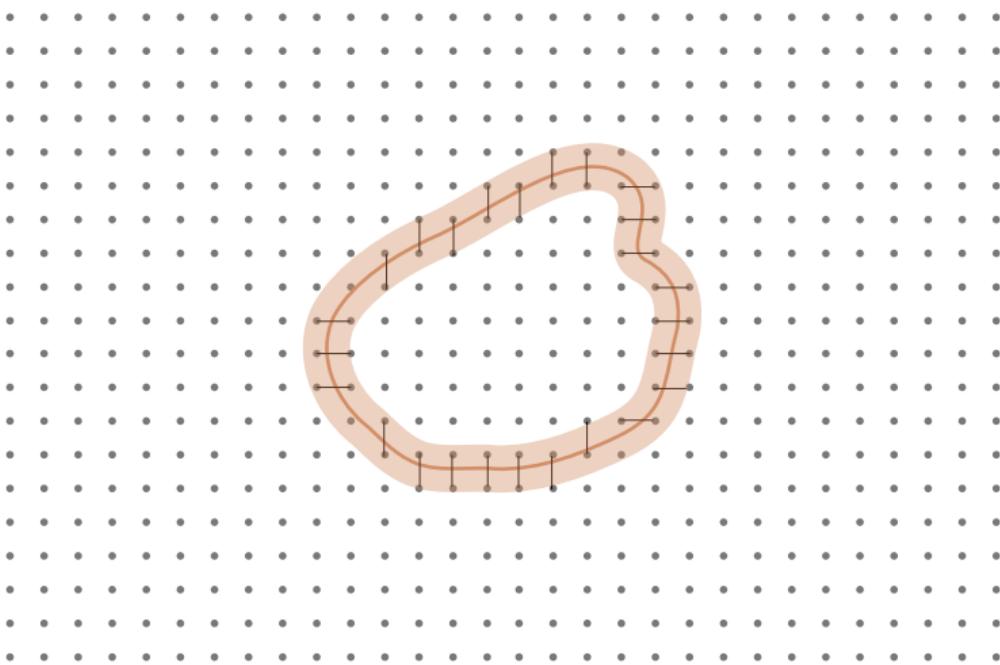
Entanglement entropy

$$S = -\text{tr}[\rho \log \rho]$$

**Area law**

$$S \propto |\partial\mathcal{D}|$$

# Interesting states are weakly entangled



**Low energy state**

$$|\psi\rangle = |0\rangle \text{ or } |1\rangle \dots$$

Reduced density matrix

$$\rho = \text{tr}_{\mathcal{D}^c} [|\psi\rangle\langle\psi|]$$

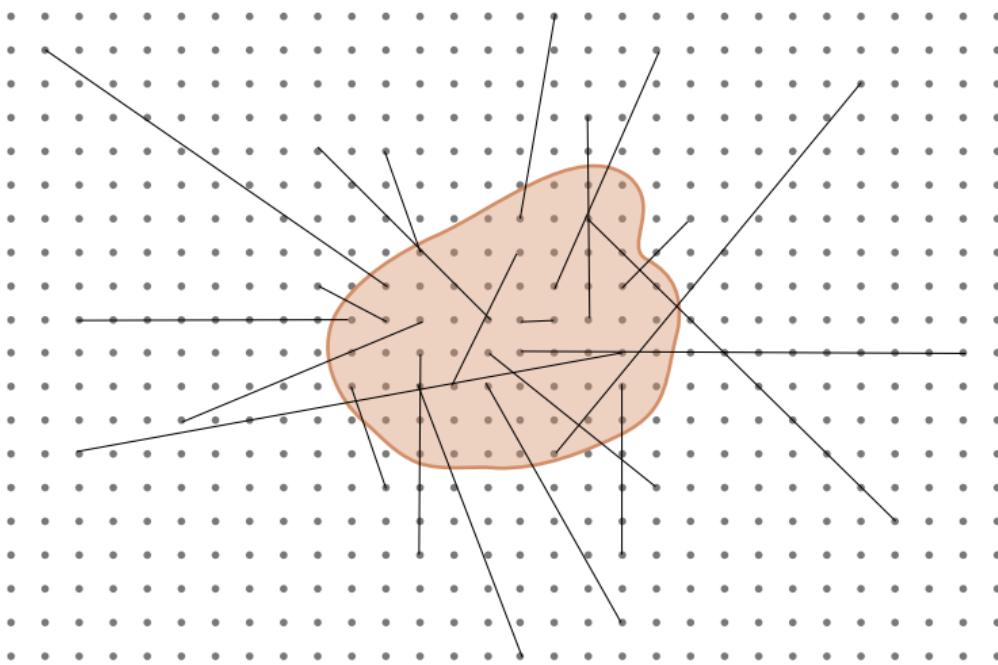
Entanglement entropy

$$S = -\text{tr}[\rho \log \rho]$$

**Area law**

$$S \propto |\partial \mathcal{D}|$$

# Typical states are strongly entangled



**Random state**

$$|\psi\rangle = U_{\text{Haar}}|\text{trivial}\rangle$$

Reduced density matrix

$$\rho = \text{tr}_{\mathcal{D}^c} [|\psi\rangle\langle\psi|]$$

Entanglement entropy

$$S = -\text{tr}[\rho \log \rho]$$

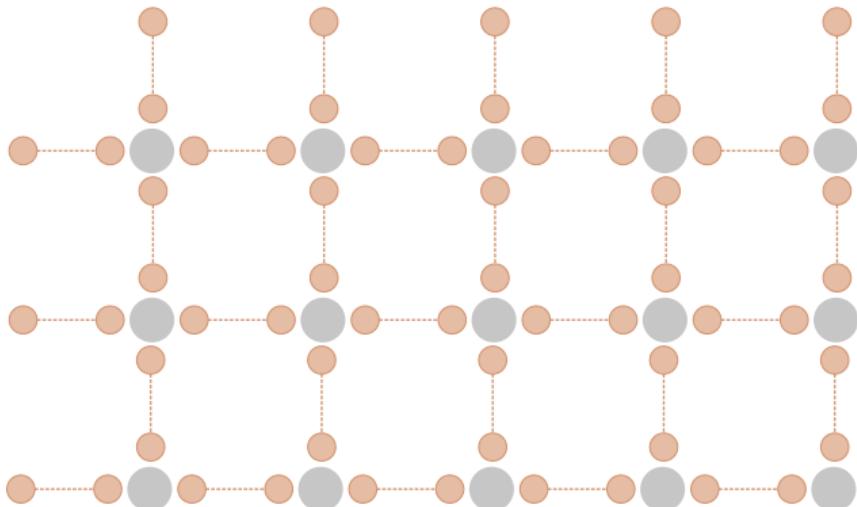
**Volume law**

$$S \propto |\mathcal{D}|$$

# Constructing weakly entangled states



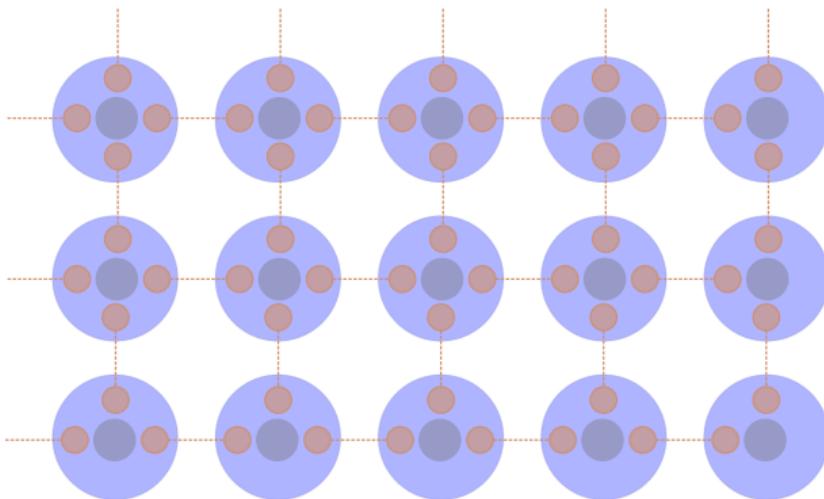
# Constructing weakly entangled states



1. Put auxiliary **maximally entangled** states between sites

$$\text{---} = \sum_{j=1}^D |j\rangle|j\rangle$$

# Constructing weakly entangled states



1. Put auxiliary **maximally entangled** states between sites

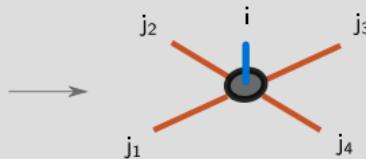
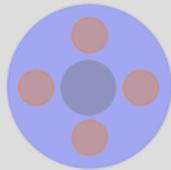
$$\dots = \sum_{j=1}^D |j\rangle|j\rangle$$

2. Map to initial Hilbert space on each site

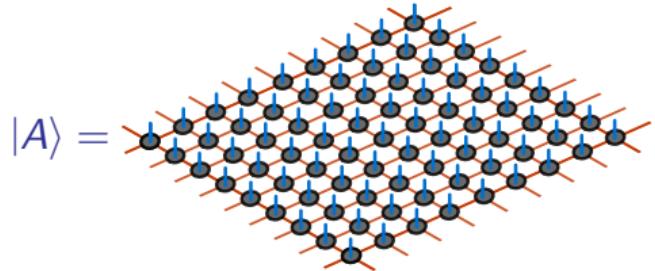
$$= A : \mathbb{C}^{4D} \rightarrow \mathbb{C}^d$$

# Tensor network states: definition

## Why “tensor” network?



$$A : \mathbb{C}^{4D} \rightarrow \mathbb{C}^d \quad \rightarrow \quad A_{j_1, j_2, j_3, j_4}^i$$



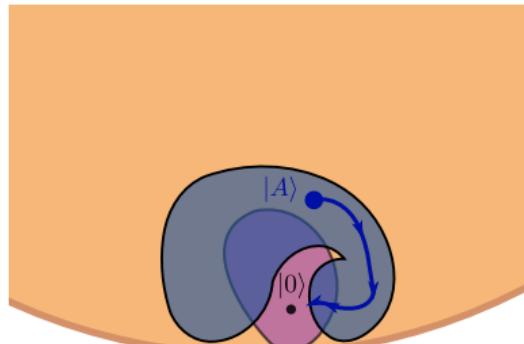
with tensor contractions on links

## Optimization

Find best  $A$  for fixed  $\chi$  ( $d \times D^4$  coeff.)

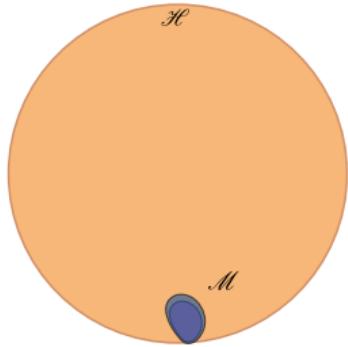
$$E_0 \simeq \min_A \frac{\langle A | \hat{H} | A \rangle}{\langle A | A \rangle}$$

for example go down  $\frac{\partial E}{\partial A_{j_1, j_2, j_3, j_4}^i}$

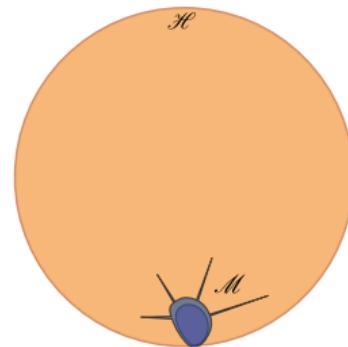


# Some facts

1 spatial dimension



$\geq 2$  spatial dimension



## Theorems (colloquially)

1. For gapped  $H$ , tensor network states  $|A\rangle$  approximate well  $|0\rangle$  as  $D$  increases
2. **All**  $|A\rangle$  are ground states of local gapped  $H$

## Folklore

1. For gapped  $H$ , tensor network states  $|A\rangle$  approximate well  $|0\rangle$  as  $D$  increases
2. **Most**  $|A\rangle$  are ground states of local gapped  $H$