

# Exploring the Metaphysical and Practical Implications of Quantum Theory



DALL·E "Two cats falling into a blackhole in synthwave style, digital art"

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**Antoine Tilloy**  
April 4th 2023  
EconophysX seminar



# Famous people say absolutely insane things...

“We know that the moon is **demonstrably** not there when nobody looks”



David Mermin - 1981

# But quantum mechanics is powerful!

## Strong physical Church Turing Thesis

Everything that can be **efficiently** computed by a physical machine can be **efficiently** computed by a Turing machine.

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**Example:** factoring

$$\underbrace{19209192 \cdots 001}_{n \text{ digits}} = p \times q$$

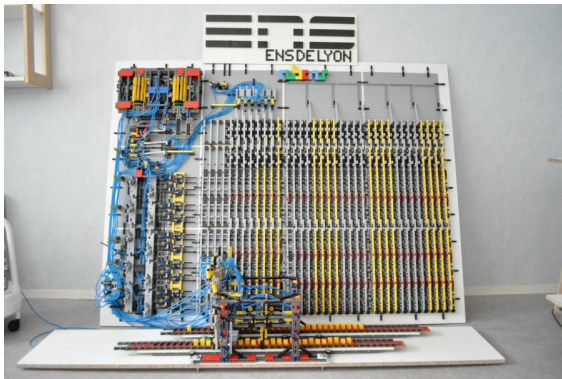
Finding  $p$  and  $q$  can be done in time  $T$

$$T \propto \exp(n^{1/3})$$

with the General number field sieve (best algorithm known)



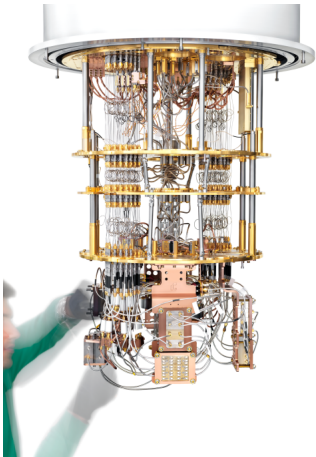
# But quantum mechanics is powerful!



ENS Lyon – Lego Turing machine  $\sim 10^{-2}$  flops    Oak ridge – Summit  $\sim 10^{17}$  flops

$$t_{\text{Lego}} = C_{\text{Lego}} \exp(n^{1/3})$$
$$t_{\text{Summit}} = C_{\text{Summit}} \exp(n^{1/3})$$

# But quantum mechanics is powerful!



- ▶ Turing Machines with best algorithm

$$t = C \exp(n^{1/3})$$

- ▶ Shor's algorithm on quantum bits

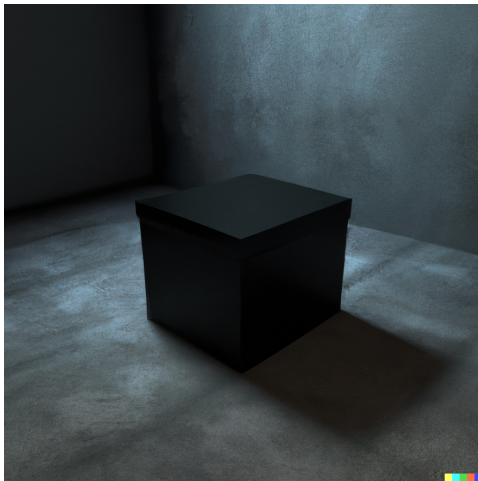
$$t \propto n^3$$

Quantum computers will break the strong form of the Church-Turing Thesis

# Outline

- ▶ Postulates (the rules of the game)
- ▶ The measurement problem (the metaphysical problem)
- ▶ Opening the box (aka interpretations)
- ▶ Bell's inequality (the practical problem)

# The postulates



DALL·E "A mysterious black box, on the floor, in the middle of an empty room"

# Postulate 1: the world and its dynamics

## Kinematics

The state of a system  $|\psi\rangle$  is a norm 1 vector in a **separable Hilbert space**  $\mathcal{H}$

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## Dynamics – Schrödinger equation

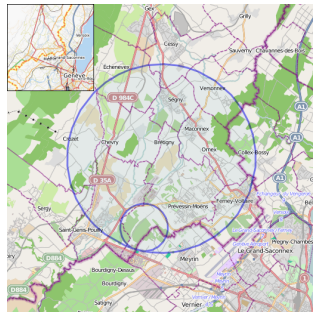
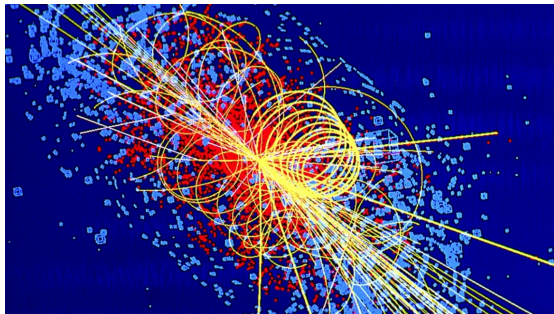
The state vector  $|\psi\rangle$  evolves unitarily

$$\frac{d}{dt}|\psi_t\rangle = -\frac{i}{\hbar}H|\psi_t\rangle$$

where  $H$  is the **Hamiltonian**, a self-adjoint operator on  $\mathcal{H}$

Then, an effective model of a system, or fundamental model of the world, is given by a choice of  $\mathcal{H}$  and  $H$

# The standard model of particle physics



The **standard model** is an instantiation of quantum theory that is the most fundamental we know (without gravity)

1. Hilbert space  $\mathcal{H}$  (the fundamental particles and their statistics)
2. Hamiltonian  $H$  (all the forces/interactions between the particles)

# Comment: in practice $\mathcal{H}$ is a large tensor product

## Tensor product structure

In the standard model and most effective descriptions,  $\mathcal{H}_{\text{tot}}$  splits into small Hilbert space for each subsystem  $\Sigma_i$

$$\mathcal{H} = \bigotimes_i \mathcal{H}_{\Sigma_i}$$

The joint state of two atoms is in  $\mathcal{H}_{\text{atom 1}} \otimes \mathcal{H}_{\text{atom 2}}$

$$\text{e.g. } |\psi_{12}\rangle = |\text{ground}\rangle_1 \otimes |\text{excited}\rangle_2$$

But a normalized superpositions can also be generated from the dynamics  $H$

$$|\psi_{\text{EPR}}\rangle = \frac{1}{\sqrt{2}} (|\text{ground}\rangle_1 \otimes |\text{excited}\rangle_2 - |\text{excited}\rangle_1 \otimes |\text{ground}\rangle_2)$$

This state is **entangled**.



# Postulate 2: measurement

## Measurement postulate

For a system “described” by  $|\psi\rangle \in \mathcal{H}$  and a measurement “described” by projector  $\Pi_i$  such that  $\sum_i \Pi_i = \mathbb{1}$  one has:

**Born rule :**

Result “  $i$  ” with probability  $\mathbb{P}[i] = \langle \psi | \Pi_i | \psi \rangle$

**Collapse :**

$$|\psi\rangle \longrightarrow \frac{\Pi_i |\psi\rangle}{\sqrt{\mathbb{P}[i]}}$$



Max Born 1926

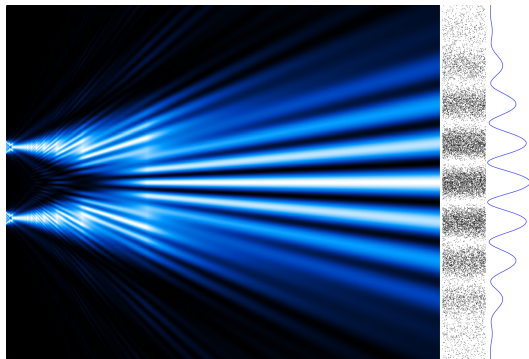


John von Neumann  
1932

# Example: interference

For a single non-relativistic particle (e.g. electron, neutron)

1.  $\mathcal{H} = L^2(\mathbb{R}^3, \mathbb{C})$
2.  $H = -\frac{\hbar^2}{2m}\Delta$
3. Measure  $X$ ,  $Y$ ,  $Z$  on the screen



# An operational framework

*A priori*, the postulates say **nothing** about the fabric of the world:

- ▶  $|\psi\rangle$  is a *a priori* only a tool
- ▶ Measurement results are the only thing we see!

# The measurement problem

Making the black box a theory of the world?

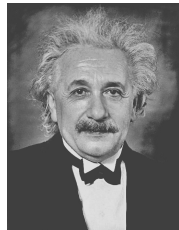
# The need for a measurement postulate is weird

- ▶ What counts as a measurement?
- ▶ How can measurement be primitive?
- ▶ What are measurements made of? (What is real?)
- ▶ Can postulate 2 (measurement) be derived from postulate 1 (dynamics)

notions of ‘reversible’ and ‘irreversible’. Einstein said that it is theory which decides what is ‘observable’. I think he was right – ‘observation’ is a complicated and theory-laden business. Then that notion should not appear in the *formulation* of fundamental theory. *Information? Whose information? Information about what?*

On this list of bad words from good books, the worst of all is ‘measurement’. It must have a section to itself.

Physics World, *Against Measurement*



Albert Einstein 1935

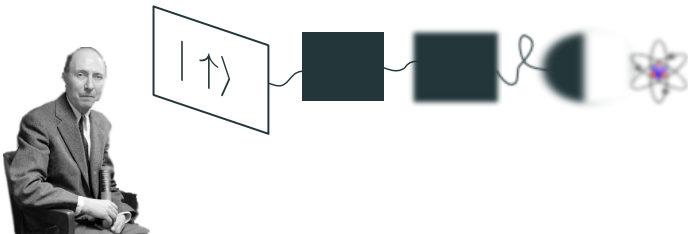


John S. Bell 1989

# Is it measurement or dynamics?

## Heisenberg cut

**Split** between the **system**, evolving with linear dynamics and the **observer** who can apply the non-linear measurement postulate

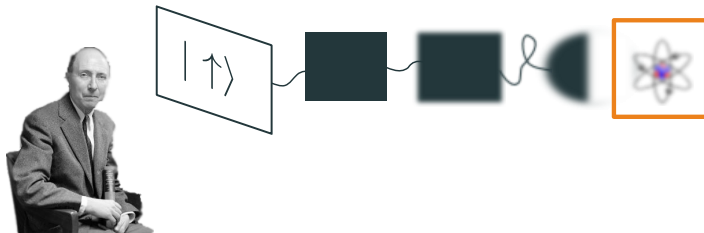


Eugene Wigner

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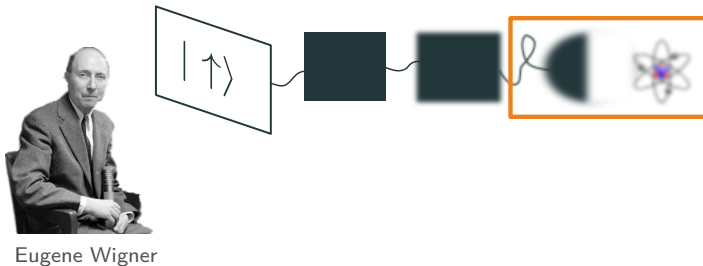


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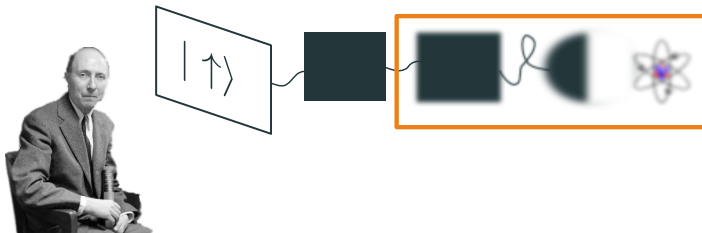




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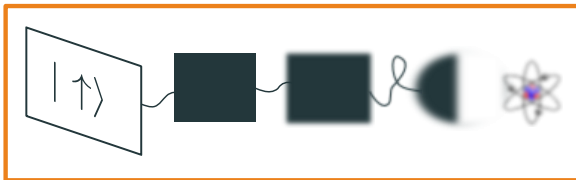
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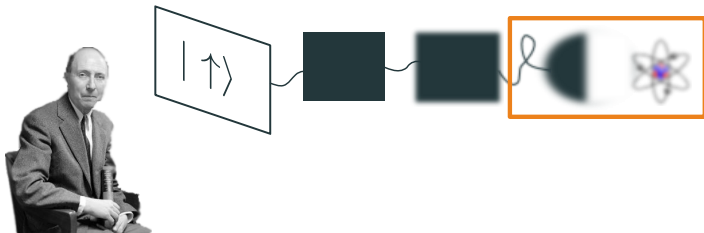
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# Deriving 2 from 1?

Describe the quantum detector quantum mechanically adding  $\mathcal{H}_{\text{detector}}$  and some  $H$  coupling detector and system.

We need

$$|\text{ground}\rangle \otimes |\text{detector}\rangle \xrightarrow{H} |\text{whatever 1}\rangle \otimes |\text{detector not triggered}\rangle$$

$$|\text{excited}\rangle \otimes |\text{detector}\rangle \xrightarrow{H} |\text{whatever 2}\rangle \otimes |\text{detector triggered}\rangle$$

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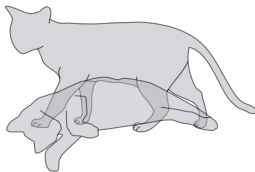
$$|\text{excited}\rangle \otimes |\text{detector}\rangle \xrightarrow{H} |\text{whatever 2}\rangle \otimes |\text{detector triggered}\rangle$$

But this implies, by **linearity** of the dynamics

$$\begin{aligned} & \left( \alpha |\text{ground}\rangle + \beta |\text{excited}\rangle \right) \otimes |\text{detector}\rangle \\ & \xrightarrow{H} \alpha |\text{whatever 1}\rangle \otimes |\text{not triggered}\rangle + \beta |\text{whatever 2}\rangle \otimes |\text{triggered}\rangle \end{aligned}$$

Superpositions propagate from micro to macro, never “collapsing”

# Schrödinger's cat



$$\begin{aligned} & \left( \alpha |\text{ground}\rangle + \beta |\text{excited}\rangle \right) \otimes |\text{detector}\rangle \otimes |\text{cat}\rangle \otimes |\text{me}\rangle \\ & \xrightarrow{H} \alpha |\text{whatever 1}\rangle \otimes |\text{not trigg}\rangle \otimes |\text{cat alive}\rangle \otimes |\text{"cool it's alive"}\rangle \\ & \quad + \beta |\text{whatever 2}\rangle \otimes |\text{triggered}\rangle \otimes |\text{cat dead}\rangle \otimes |\text{"oh no it's dead"}\rangle \end{aligned}$$

# Decoherence solves the problem for all practical purposes

Macroscopic systems like detectors, cats, or people have colossally large  $\mathcal{H}_{\text{big}}$

$$|\text{ground}\rangle \otimes |\text{big system}\rangle \xrightarrow{H} |\text{whatever 1}\rangle \otimes |\text{big system 1}\rangle$$

$$|\text{excited}\rangle \otimes |\text{big system}\rangle \xrightarrow{H} |\text{whatever 2}\rangle \otimes |\text{big system 2}\rangle$$

For a generic  $H$ :  $\langle \text{big system 1} | \text{big system 2} \rangle \simeq 0 \leftarrow$  “**decoherence**”

## Decoherence

Decoherence explains why the dead cat and the live cat do not produce interferences with each other, but does not explain why only one **exists**.

# A consistent blackbox: the orthodox interpretation

Decoherence shows that different Heisenberg cuts do not lead to contradictions as long as as they are placed at a sufficiently macroscopic level.



The black box is self-consistent but **the measurement problem remains**.



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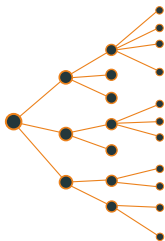
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## Reactions

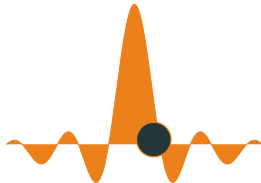
1. Pragmatic orthodoxy: *Shut up and calculate!*
2. Sectarian orthodoxy: Physics should only talk about what is *observable*, the rest *does not exist*
3. Fashionable orthodoxy: there *is* only information about the world

# 3 possible ways to see through the blackbox

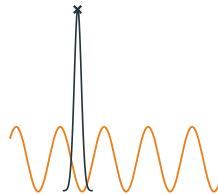
1. Accept that the branches of  $|\psi\rangle$  *all exist* → **Many-World interpretation**
2. Add new variables that pick a single branch → **Bohmian Mechanics**
3. Make the dynamics non-linear to pick a branch → **Collapse Models**



Everett 1957



Bohm 1952



Ghirardi 1986

# The idea of objective collapse models

Schrödinger equation + non-linear peanut

$$\frac{d}{dt}\psi_t = -\frac{i}{\hbar}H\psi_t + \varepsilon(\psi) ,$$

$H$  is the fundamental Hamiltonian or an approximation

Completely *ad hoc*, the objective is to show it is *possible*

# The model of Ghirardi, Rimini, and Weber

## The GRW modification (1986)

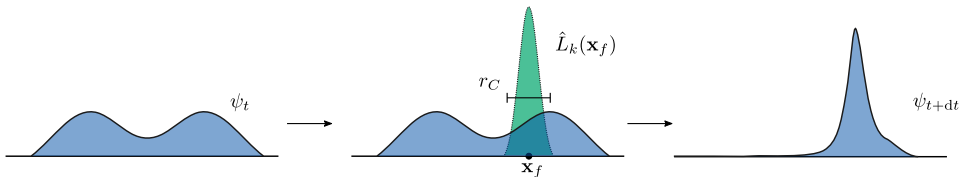
Every  $dt$ , with proba  $\lambda dt$  each particle collapses around a random  $x_f$

$$\psi_t \longrightarrow \frac{\hat{L}(x_f)\psi_t}{\|\hat{L}(x_f)\psi_t\|} \text{ with proba } P(x_f) = \|\hat{L}(x_f)\psi_t\|^2$$

with an envelope  $\hat{L}(x_f) = \frac{1}{(\pi r_C^2)^{3/4}} e^{-(\hat{x}_k - x_f)^2 / (2r_C^2)}$ .



GianCarlo Ghirardi  
1935 - 2018



# Why it works

Fixing  $\lambda = 10^{-16} \text{s}^{-1}$  (historical value) :

1. An electron collapses every 300 million years.
2. A cat with  $\simeq 10^{28}$  electrons, is localized up to  $r_c$  in less than a picosecond

# Why it works

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Microscopic degrees of freedom do not collapse because of their intrinsic dynamics, but when they are coupled to something macroscopic (detector, human, etc.)  $\implies$  Heisenberg cut objectified  $\implies$  it is a theory of the world

# Some wrong things about QT

Knowing interpretations / reconstructions *exist* for the black box helps dispel myths

## Examples

1. “*Quantum mechanics shows the world is irreducibly random*”

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2. “*Quantum mechanics show we have to profoundly rethink metaphysics and classical preconceptions of reality*”  
→ Bohmian mechanics is a simple theory of particles that move around

So what is actually **surprising practically** with quantum mechanics?

# Quantum non-locality

The irreducibly weird thing

# EPR argument

In 1935, Einstein - Podolsky - Rosen (EPR) considered the state of two atoms far away from each other (say a light-year away)

$$|\psi_{\text{EPR}}\rangle = \frac{1}{\sqrt{2}}(|\text{ground}\rangle_1 \otimes |\text{excited}\rangle_2 - |\text{excited}\rangle_1 \otimes |\text{ground}\rangle_2)$$

Measure **1**, get the result “ground”, know immediately that **2** is “excited”

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Measure 1, get the result “ground”, know immediately that 2 is “excited”

For EPR this means either:

1. There is some action at a distance, faster than light – **impossible!**
2. The result was predetermined, and thus  $|\psi_{\text{EPR}}\rangle$  is not all there is

# Bell's modification

In 1964, in “*On the EPR paradox*” Bell considered the same state

$$|\psi_{\text{EPR}}\rangle = \frac{1}{\sqrt{2}}(|\text{ground}\rangle_1 \otimes |\text{excited}\rangle_2 - |\text{excited}\rangle_1 \otimes |\text{ground}\rangle_2)$$

but chose to measure randomly  $P_0$ ,  $P_{2\pi/3}$ , or  $P_{4\pi/3}$  on each side, where

$$P_\theta = |\theta\rangle\langle\theta| \text{ with } |\theta\rangle = \cos(\theta)|\text{ground}\rangle + \sin(\theta)|\text{excited}\rangle$$

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We additionally flip the result by convention on one side, then

- ▶ If measurements are the same on each side: **perfect agreement** (EPR)
- ▶ If measurements are different: agreement with probability  $\cos^2(2\pi/3) = 1/4$

# Bell's theorem

NOT ABOUT QUANTUM MECHANICS

## Simplified gamified version

### Setup

- ▶ Take 2 players far away, who could establish strategies before but are now too far away to influence each other (locality).
- ▶ Consider 3 different questions, to which they can answer by YES or NO.

### Hypothesis

- ▶ If the question they are asked is the same, they have to answer the same (both YES, or both NO).

### Then

The probability that they answer the same thing when asked random **different** questions is necessarily greater than  $1/3$  [Bell's inequality]



# Bell's inequality is violated by Quantum Mechanics

If two players have one half of an EPR pair  $|\psi_{\text{EPR}}\rangle$  in a box they can

- ▶ Associate to each question a measurement  $P_\theta$  for  $\theta = 0, 2\pi/3, 4\pi/3$
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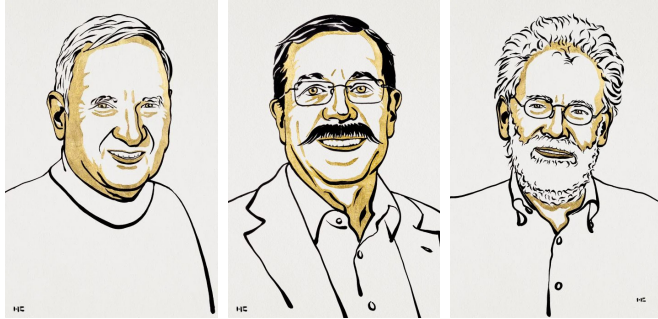
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## No faster than light signalling, but not just correlations

Entanglement does not allow the players to communicate, but allows them to collaborate in ways they couldn't without influencing each other.

# The world violates Bell's inequality just like Quantum Mechanics predicts!

Nobel Prize 2022



The seed of the second quantum revolution (cryptography, computing)

# Towards FTL-HFT?

## A peculiar non-local auction

1 of 3 stocks A, B, C will be randomly traded in Paris and NYC **for only 1ms**.  
[For example, A is traded in Paris, B in NYC, for 1ms]

### Rules:

1. Having both of the same stock, long or short, is the best possible thing  
 $(+A+A, -B-B, \dots) \rightarrow +10$  points
2. Having one short one long of different stocks is second best  
 $(+A-B, +B-C, \dots) \rightarrow +0$  points
3. Having two longs / two shorts of different stocks is worst  
 $(+A+B, -C-B, \dots) \rightarrow -1$  points

### Result:

- ▶ Best strategy with standard servers and optical fibers: 2.83
- ▶ Best strategy with a quantum memory in a cryostat and optical fibers: 2.89